



GENERAL ASSEMBLY

COMMONWEALTH OF KENTUCKY

2019 REGULAR SESSION

SENATE BILL NO. 4

FRIDAY, JANUARY 11, 2019

The following bill was reported to the House from the Senate and ordered to be printed.

1 AN ACT relating to elections.

2 ***Be it enacted by the General Assembly of the Commonwealth of Kentucky:***

3 ➔Section 1. KRS 121.005 is amended to read as follows:

4 (1) The General Assembly finds and declares that:

5 (a) The intent of disclosure of campaign finance information is to make that
6 information about political contributions and expenditures~~[the role of money~~
7 ~~in politics]~~ accessible to the public;

8 (b) The volume of campaign finance reports submitted each year to the state
9 renders it virtually impossible, without the help of computer technology, to
10 derive meaningful conclusions from the records; and

11 (c) Computer automation is a necessary and effective means of transmitting,
12 organizing, storing, and retrieving vast amounts of data submitted by
13 candidates in election campaigns~~]; and~~

14 ~~(d) Although candidates are currently permitted to file campaign finance reports~~
15 ~~electronically if they so choose, very few candidates have chosen to do so, and~~
16 ~~therefore access to campaign finance data through electronic or on-line~~
17 ~~technology is limited].~~

18 (2) The General Assembly enacts this legislation to accomplish the following:

19 (a) To improve the existing system of electronic reporting and extend its usage to
20 more candidates;

21 (b) To allow concerned persons easy, convenient, and timely access to campaign
22 finance reports submitted to the state;

23 (c) To ease the burden on candidates and committees of tabulating, filing, and
24 maintaining public records of financial activity;

25 (d) To strengthen both the disclosure and enforcement capabilities of the Registry
26 of Election Finance;

27 (e) To cooperate in the standardization of reporting formats among states so that

interstate as well as intrastate sources of political money can be known;

(f) To provide for a fully informed electorate; and

(g) To help restore public trust in the governmental and electoral institutions of this state.

➔Section 2. KRS 121.120 is amended to read as follows:

(1) The registry may:

(a) Require by special or general orders, any person to submit, under oath, any written reports and answers to questions as the registry may prescribe;

(b) Administer oaths or affirmations;

(c) Require by subpoena, signed by the chair~~chairman~~, the attendance and testimony of witnesses and the production of all documentary evidence, excluding individual and business income tax records, relating to the execution of its duties;

(d) In any proceeding or investigation, to order testimony to be taken by deposition before any person who is designated by the registry and has the power to administer oaths and, in those instances, to compel testimony and the production of evidence in the same manner as authorized under paragraph (c) of this subsection;

(e) Initiate, through civil actions for injunctive, declaratory, or other appropriate relief, defend, or appeal any civil action in the name of the registry to enforce the provisions of this chapter through its legal counsel;

(f) Render advisory opinions under KRS 121.135;

(g) Promulgate administrative regulations necessary to carry out the provisions of this chapter;

(h) Conduct investigations and hearings expeditiously, to encourage voluntary compliance, and report apparent campaign finance law violations to the appropriate law enforcement authorities;

- 1 (i) Petition any court of competent jurisdiction to issue an order requiring
 2 compliance with an order or subpoena issued by the registry. Any failure to
 3 obey the order of the court may be punished by the court as contempt; and
- 4 (j) Conduct random audits of receipts and expenditures of committees which
 5 have filed registration papers with the registry pursuant to KRS 121.170.
- 6 (2) No person shall be subject to civil liability to any person other than the registry or
 7 the Commonwealth for disclosing information at the request of the registry.
- 8 (3) The registry may appoint a full-time executive director, legal counsel, and an
 9 accountant for auditing purposes, all of whom shall serve at the pleasure of the
 10 registry. The registry may also appoint such other employees as are necessary to
 11 carry out the purposes of this chapter. All requests for personnel appointments shall
 12 be forwarded by the registry directly to the secretary of the Personnel Cabinet and
 13 shall be subject to the secretary's~~[his]~~ review and certification only.
- 14 (4) The registry shall adopt official forms and perform other duties necessary to
 15 implement the provisions of this chapter. The registry shall not require the listing of
 16 a person's Social Security number on any form developed by the registry. Without
 17 limiting the generality of the foregoing, the registry shall:
- 18 (a) Develop prescribed forms for the making of the required reports;
- 19 (b) Prepare and publish a manual for all candidates, slates of candidates,
 20 contributing organizations, and committees, describing the requirements of
 21 the law, including uniform methods of bookkeeping and reporting,
 22 requirements as to reporting dates, and the length of time that candidates,
 23 slates of candidates, contributing organizations, and committees are required
 24 to keep any records pursuant to the provisions of this chapter;
- 25 (c) Develop a filing, coding, and cross-indexing system;
- 26 (d) Make each report filed available for public inspection and copying during
 27 regular office hours at the expense of any person requesting copies of them;

1 (e) Preserve all reports for at least six (6) years from the date of receipt. Duly
 2 certified reports shall be admissible as evidence in any court in the
 3 Commonwealth;

4 (f) Prepare and make available for public inspection a summary of all reports
 5 grouped according to candidates, slates of candidates, committees,
 6 contributing organizations, and parties containing the total receipts and
 7 expenditures; and

8 1. For each contribution made by a permanent committee of any amount to
 9 a candidate or slate of candidates, the date, name, and business address
 10 of the permanent committee, the amount contributed, and a description
 11 of the major business, social, or political interest represented by the
 12 permanent committee;

13 2. For each contribution in excess of one hundred dollars (\$100) made to a
 14 candidate or slate of candidates for a statewide-elected state office, or to
 15 a campaign committee for a candidate or slate of candidates for a
 16 statewide-elected state office, the date, name, address, occupation, and
 17 employer of each contributor and the spouse of the contributor or, if the
 18 contributor or spouse of the contributor is self-employed, the name
 19 under which the contributor~~he~~ is doing business, and the amount
 20 contributed by each contributor, listed alphabetically; and

21 3. For each contribution in excess of one hundred dollars (\$100) made to
 22 any candidate or campaign committee other than those specified in
 23 subparagraph 2., the date, name, address, occupation, and employer of
 24 each other contributor or, if the contributor is self-employed, the name
 25 under which the contributor~~he~~ is doing business, and the amount
 26 contributed by each contributor, listed alphabetically;

27 (g) Prepare and publish an annual report with cumulative compilations named in

- 1 paragraph (f) of this subsection;
- 2 (h) Distribute upon request, for a nominal fee, copies of all summaries and
3 reports;
- 4 (i) Determine whether the required reports have been filed and if so, whether they
5 conform with the requirements of this chapter; give notice to delinquents to
6 correct or explain defections; issue an order, if appropriate, as provided in
7 KRS 121.140; and make public the fact that a violation has occurred and the
8 nature thereof;
- 9 (j) Conduct random audits of receipts and expenditures of candidates running for
10 city, county, urban-county government, charter county government,
11 consolidated local government, unified local government, and district
12 offices. When the registry audits the records of any selected candidate, it shall
13 also audit the records of all other candidates running for the same office in the
14 selected city, county, urban-county government, charter county government,
15 consolidated local government, unified local government, or district office;
- 16 (k) Conduct audits of receipts and expenditures of all candidates or slates of
17 candidates running for statewide office;
- 18 (l) Require that candidates and slates of candidates shall maintain their records
19 for a period of six (6) years from the date of the regular election in their
20 respective political races;
- 21 (m) Initiate investigations and make investigations with respect to reports upon
22 complaint by any person and initiate proceedings on its own motion; and
- 23 (n) Forward to the Attorney General or the appropriate Commonwealth's or
24 county attorney any violations of this chapter which may become the subject
25 of civil or criminal prosecution.
- 26 (5) All policy and enforcement decisions concerning the regulation of campaign finance
27 shall be the ultimate responsibility of the registry. No appointed or elected state

1 officeholder or any other person shall, directly or indirectly, attempt to secure or
 2 create privileges, exemptions, or advantages for himself, herself, or others in
 3 derogation of the public interest at large in a manner that seeks to leave any registry
 4 member or employee charged with the enforcement of the campaign finance laws
 5 no alternative but to comply with the wishes of the officeholder or person. Registry
 6 members and employees shall be free of obligation or the appearance of obligation
 7 to any interest other than the fair and efficient enforcement of the campaign finance
 8 laws and administrative regulations. It shall not be considered a violation of this
 9 subsection for an officeholder or other person to seek remedies in a court of law to
 10 any policy or enforcement decision he or she considers to be an abridgement of his
 11 or her legal rights.

12 (6) If adequate and appropriate agency funds are available, the registry shall:

- 13 (a) Develop or acquire a system for electronic reporting for use by individuals and
 14 entities required to file campaign finance reports with the registry under this
 15 chapter. The registry shall promulgate administrative regulations under KRS
 16 Chapter 13A which provide for a nonproprietary standardized format or
 17 formats, using industry standards, for the transmission of data required under
 18 this chapter;
- 19 (b) Accept test files from software vendors and persons wishing to file reports
 20 electronically for the purpose of determining whether the file format complies
 21 with the nonproprietary standardized format developed under paragraph (a) of
 22 this subsection and is compatible with the registry's system for receiving the
 23 data;
- 24 (c) Make all ~~paper or electronic~~ reports filed with the registry pertaining to
 25 candidates for the General Assembly and statewide office available on the
 26 Internet free of charge, in an easily understood format that allows the public to
 27 browse, search, and download the data contained in the reports by each of the

reporting categories required by this chapter, including but not limited to:

1. The name of each candidate or committee;
2. The office sought by each candidate;
3. The name of each contributor;
4. The address of each contributor;
5. The employer or business occupation of each contributor, or if the contributor is a permanent committee, a description of the major business, social, or political interest represented by the permanent committee;
6. The date of each contribution; and
7. The amount of each contribution;

(d) Make all data specified in paragraph (c) of this subsection available on the Internet no later than ten (10) business days after its receipt by the registry. If a contribution or expenditure report is filed late with the registry, that data shall be made available on the Internet within twenty-four (24) hours of the registry's receipt of the data;

(e) Make filer-side software available free of charge to all individuals or entities subject to the reporting requirements of this chapter;

(f) Establish a training program on the electronic reporting program and make it available free of charge to all individuals and entities subject to the reporting requirements of this chapter;

(g) Maintain all campaign finance data pertaining to legislative and statewide candidates on-line for twenty (20) years after the date the report containing the data is filed, and then archive the data in a secure format;~~and~~

(h) Require candidates and slates of candidates running for statewide office, and campaign committees of candidates and slates of candidates registered to run for statewide office, beginning with elections scheduled in 2015, to

electronically report all ~~campaign~~[election] finance reports that must be submitted to the registry under this chapter. If any statewide candidate, slate of candidates, or campaign committee of a statewide candidate or slate of candidates submits ~~a campaign~~[an election] finance report in a nonelectronic format for an election scheduled in 2015 or later, the registry shall require the statewide candidate, slate of candidates, or campaign committee of the statewide candidate or slate of candidates to resubmit the ~~campaign~~[election] finance report in an electronic format~~[- the first time that entity files an electronic report for that election];~~:

- (i) Require all candidates, slates of candidates, committees, and contributing organizations, beginning with the primary scheduled in 2020, and for each subsequent election scheduled thereafter, to electronically report all campaign finance reports required to be submitted to the registry under this chapter. If any candidate, slate of candidates, committee, or contributing organization submits a campaign finance report to the registry in a nonelectronic format for an election or calendar period beginning in 2020 or later, the registry shall require the candidate, slate of candidates, committee, or contributing organization to resubmit the campaign finance report in an electronic format. If any candidate, slate of candidates, committee, or contributing organization does not submit the required campaign finance reports electronically by the applicable filing deadline, the registry shall publish the candidate, slate of candidates, committee, or contributing organization's name as a delinquent filer until such time as the campaign finance report is properly filed in an electronic format; and
- (j) Require all independent expenditure reports to be submitted electronically within forty-eight (48) hours of the date that the communication is publicly distributed or otherwise publicly disseminated, beginning with the primary

1 *scheduled in 2020, and for each subsequent election scheduled thereafter.*

2 (7) In conjunction with the program of electronic reporting set out in subsection (6) of
3 this section, the registry shall deem an electronic report to be filed when submitted
4 by either of the following methods:

5 (a) Online Internet transmission; or

6 (b) ~~[Delivery by mail or]~~Hand delivery of the electronic report, saved *on a*
7 *current and compatible computer component, and downloaded at the*
8 *registry*~~[on optical or magnetic disk].~~

9 ➔Section 3. KRS 121.180 is amended to read as follows:

10 (1) (a) Any candidate, slate of candidates, or political issues committee shall be
11 exempt from filing any campaign finance reports required by subsections (3)
12 and (4) of this section if the candidate, slate of candidates, or political issues
13 committee chair files a form prescribed and furnished by the registry stating
14 that currently no contributions have been received and that contributions will
15 not be accepted or expended in excess of three thousand dollars (\$3,000) in
16 any one (1) election.~~[For a candidate for judicial office who desires to be~~
17 ~~exempt from filing any campaign finance reports as provided in this~~
18 ~~paragraph, the request for exemption shall be filed by the campaign treasurer~~
19 ~~of the candidate's campaign committee, but the candidate shall be personally~~
20 ~~liable for any violation if the campaign treasurer accepts contributions or~~
21 ~~makes expenditures in excess of the limit and shall be subject to the same~~
22 ~~penalties as a candidate as provided in subparagraph 1. or 2. of paragraph (k)~~
23 ~~of this subsection.]~~ A separate form shall be required for each primary,
24 regular, or special election in which the candidate or slate of candidates
25 participates or in which the public question appears on the ballot, unless the
26 candidate, slate of candidates, or political issues committee chair indicates on
27 a request for exemption that the request will be applicable to more than one

(1) election. The form shall be filed with the same office with which a candidate or slate of candidates files nomination papers or, in the case of a political issues committee, with the registry.

(b) For a primary, a candidate or slate of candidates shall file a request for exemption not later than the deadline for filing nomination papers and, except as provided in subparagraph 2. of paragraph (c) of this subsection, shall be bound by its terms unless it is rescinded in writing not later than thirty (30) days preceding the primary~~[fifteen (15) days after the filing deadline]~~. For a regular election, a candidate or slate of candidates shall file or rescind in writing a request for exemption not later than sixty (60) days preceding the regular election~~[twenty five (25) days after the date of the preceding primary election]~~, except as provided in subparagraph 2. of paragraph (c) of this subsection. For a special election, a candidate or slate of candidates shall file a request for exemption not later than ten (10) days after the candidate or slate of candidates is nominated for a special election and shall be bound by its terms unless it is rescinded in writing not later than thirty (30) days preceding the special election~~[twenty five (25) days after the date on which the nomination for a special election is made]~~. A political issues committee chair shall file a request for exemption when~~[not later than ten (10) days after the date on which]~~ the committee registers with the registry and shall be bound by its terms unless it is rescinded in writing not later than thirty (30) days preceding the date the issue appears on the ballot~~[fifteen (15) days after the date on which the request for exemption is filed]~~.

(c) 1. A candidate or slate of candidates that revokes a request for exemption in a timely manner shall file all reports required of a candidate intending to raise or spend in excess of three thousand dollars (\$3,000) in an election. To revoke the request for an exemption, the candidate or slate

1 of candidates shall file the appropriate form with the registry not later
 2 than the deadline for filing a revocation.

3 2. A candidate or slate of candidates that~~[for any city, urban county~~
 4 ~~government, charter county government, consolidated local government,~~
 5 ~~unified local government, or county office or for any school board~~
 6 ~~office, who]~~ is exempted from campaign finance reporting requirements
 7 pursuant to paragraph (a) of this subsection but who accepts
 8 contributions or makes expenditures in excess of the exempted amount
 9 in an election, shall file all applicable reports required for the remainder
 10 of that election, based upon the amount of contributions or expenditures
 11 the candidate or slate of candidates accepts or receives in that election.
 12 The filing of applicable required reports by a candidate or slate of
 13 candidates after the exempted amount is exceeded shall serve as notice
 14 to the registry that the initial exemption has been rescinded. No further
 15 notice to the registry shall be required and no penalty for exceeding the
 16 initial exempted amount shall be imposed against the candidate or slate
 17 of candidates, except for failure to file applicable reports required after
 18 the exempted amount is exceeded.

19 (d) Any candidate or slate of candidates that is subject to a June or~~[an]~~ August
 20 filing deadline and that intends to execute a request for exemption shall file
 21 the appropriate request for exemption not later than the filing deadline and,
 22 except as provided in subparagraph 2. of paragraph (c) of this subsection, shall
 23 be bound by its terms unless it is rescinded in writing not later than sixty (60)
 24 days preceding the regular election~~[fifteen (15) days after the filing~~
 25 ~~deadline]~~. A candidate or slate of candidates that is covered by this paragraph
 26 shall have the same reversion rights as those provided in subparagraph 1. of
 27 paragraph (c) of this subsection.

- 1 (e) Any candidate or slate of candidates that will appear on the ballot in a regular
2 election that has signed a request for exemption for that election may exercise
3 the reversion rights provided in subparagraph 1. of paragraph (c) of this
4 subsection if a candidate or slate of candidates that is subject to a June or~~an~~
5 August filing deadline subsequently files in opposition to the candidate or
6 slate of candidates. Except as provided in subparagraph 2. of paragraph (c) of
7 this subsection, a candidate or slate of candidates covered by this paragraph
8 shall comply with the deadline for rescission provided in subparagraph 1. of
9 paragraph (c) of this subsection.
- 10 (f) Except as provided in subparagraph 2. of paragraph (c) of this subsection, any
11 candidate or slate of candidates that has filed a request for exemption for a
12 regular election that later is opposed by a person who has filed a declaration of
13 intent to receive write-in votes may rescind the request for exemption and
14 exercise the reversion rights provided in subparagraph 1. of paragraph (c) of
15 this subsection.
- 16 (g) Any candidate or slate of candidates that has filed a request for exemption
17 may petition the registry to determine whether another person is campaigning
18 as a write-in candidate prior to having filed a declaration of intent to receive
19 write-in votes, and, if the registry determines upon a preponderance of the
20 evidence that a person who may later be a write-in candidate is conducting a
21 campaign, the candidate or slate of candidates, except as provided in
22 subparagraph 2. of paragraph (c) of this subsection, may petition the registry
23 to permit the candidate or slate of candidates to exercise the reversion rights
24 provided in subparagraph 1. of paragraph (c) of this subsection.
- 25 (h) If the opponent of a candidate or slate of candidates is replaced due to his or
26 her withdrawal because of death, disability, or disqualification, the candidate
27 or slate of candidates, except as provided in subparagraph 2. of paragraph (c)

of this subsection, may exercise the reversion rights provided in subparagraph 1. of paragraph (c) of this subsection not later than fifteen (15) days after the party executive committee nominates a replacement for the withdrawn candidate or slate of candidates.

- (i) A person intending to be a write-in candidate for any office in a regular or special election may execute a request for exemption under paragraph (a) of this subsection and shall be bound by its terms unless it is rescinded in writing not later than fifteen (15) days preceding~~[after the filing deadline for]~~ the regular or special election. A person intending to be a write-in candidate who revokes a request for exemption in a timely manner shall file all reports required of a candidate intending to raise or spend in excess of three thousand dollars (\$3,000) in an election. Except as provided in subparagraph 2. of paragraph (c) of this subsection, a person intending to be a write-in candidate who revokes a request for exemption shall file the appropriate form with the registry~~[not later than fifteen (15) days after the filing deadline for the regular or special election]~~.

- (j) Except as provided in subparagraph 2. of paragraph (c) of this subsection, the campaign committee of any candidate or slate of candidates that has filed a request for exemption or a political issues committee whose chair has filed a request for exemption shall be bound by its terms unless it is rescinded in a timely manner.

- (k) 1. Except as provided in subparagraph 2. of paragraph (c) of this subsection, any candidate, slate of candidates, or political issues committee that is exempt from filing campaign finance reports pursuant to paragraph (a), (d), or (i) of this subsection that accepts contributions or makes expenditures, or whose campaign treasurer accepts contributions or makes expenditures, in excess of the applicable limit in

any one (1) election without rescinding the request for exemption in a timely manner shall comply with all applicable reporting requirements and, in lieu of other penalties prescribed by law, pay a fine of not less~~more~~ than five hundred dollars (\$500)~~plus the amount by which the spending limit was exceeded~~.

2. Except as provided in subparagraph 2. of paragraph (c) of this subsection, a candidate, slate of candidates, campaign committee, or political issues committee that is exempt from filing campaign finance reports pursuant to paragraph (a), (d), or (i) of this subsection that knowingly accepts contributions or makes expenditures in excess of the applicable spending limit in any one (1) election without rescinding the request for exemption in a timely manner shall comply with all applicable reporting requirements and shall be guilty of a Class D felony.

(2) (a) State and county executive committees, and caucus campaign committees shall make a full report, upon a prescribed form, to the registry, of all money, loans, or other things of value, received from any source, and expenditures authorized, incurred, or made, since the date of the last report, including:

1. For each contribution of any amount made by a permanent committee, the name and business address of the permanent committee, the date of the contribution, the amount contributed, and a description of the major business, social, or political interest represented by the permanent committee;
2. For other contributions in excess of one hundred dollars (\$100), the full name, address, age if less than the legal voting age, the date of the contribution, the amount of the contribution, and the employer and occupation of each contributor. If the contributor is self-employed, the

1 name under which he or she is doing business shall be listed;

2 3. The total amount of cash contributions received during the reporting
3 period; and

4 4. A complete statement of expenditures authorized, incurred, or made.
5 The complete statement of expenditures shall include the name and
6 address of each person to whom an expenditure is made in excess of
7 twenty-five dollars (\$25), and the amount, date, and purpose of each
8 expenditure.

9 (b) In addition to the reporting requirements in paragraph (a) of this subsection,
10 the state executive committee of a political party that has established a
11 building fund account under KRS 121.172 shall make a full report, upon a
12 prescribed form, to the registry, of all contributions received from any source,
13 and expenditures authorized, incurred, or made, since the date of the last
14 report for the separate building fund account, including:

15 1. For each contribution of any amount made by a corporation, the name
16 and business address of the corporation, the date of the contribution, the
17 amount contributed, and a description of the major business conducted
18 by the corporation;

19 2. For other contributions in excess of one hundred dollars (\$100), the full
20 name and address of the contributor, the date of the contribution, the
21 amount of the contribution, and the employer and occupation of each
22 contributor. If the contributor is self-employed, the name under which he
23 or she is doing business shall be listed;

24 3. The total amount of cash contributions received during the reporting
25 period; and

26 4. A complete statement of expenditures authorized, incurred, or made.
27 The complete statement of expenditures shall include the name and

1 address of each person to whom an expenditure is made in excess of
 2 twenty-five dollars (\$25), and the amount, date, and purpose of each
 3 expenditure.

4 (c) The report required by paragraph (a) of this subsection shall be made on a
 5 semiannual basis and shall be received by the registry by January 31 and by
 6 July 31~~, and any report received by the registry within five (5) days after each~~
 7 ~~filing deadline shall be deemed timely filed~~. The January report shall cover
 8 the period from July 1 to December 31. The July report shall cover the period
 9 from January 1 to June 30. If an individual gives a reportable contribution to a
 10 caucus campaign committee or to a state or county executive committee with
 11 the intention that the contribution or a portion of the contribution go to a
 12 candidate or slate of candidates, the name of the contributor and the sum shall
 13 be indicated on the committee report. The report required by paragraph (b) of
 14 this subsection relating to a state executive committee's building fund account
 15 shall be received by the registry within **two (2) business**~~[five (5)]~~ days after
 16 the close of each calendar quarter. The receipts and expenditures of funds
 17 remitted to each political party under KRS 141.071 to 141.073 shall be
 18 separately accounted for and reported to the registry in the manner required by
 19 KRS 121.230. The separate report may be made a separate section within the
 20 report required by this subsection to be received by the registry by January
 21 31~~, and if received by the registry within five (5) days after the filing~~
 22 ~~deadline, it shall be deemed timely filed~~.

23 (3) (a) Except for candidates or slates of candidates, campaign committees, or
 24 political issues committees exempted from reporting requirements pursuant to
 25 subsection (1) of this section, each campaign treasurer of a candidate, slate of
 26 candidates, campaign committee, or political issues committee who accepts
 27 contributions or expends, expects to accept contributions or expend, or

1 contracts to expend more than three thousand dollars (\$3,000) in any one (1)
2 election, and each fundraiser who secures contributions in excess of three
3 thousand dollars (\$3,000) in any one (1) election, shall make a full report to
4 the registry, on a form provided or using a format approved by the registry, of
5 all money, loans, or other things of value, received from any source, and
6 expenditures authorized, incurred, and made, since the date of the last report,
7 including:

- 8 1. For each contribution of any amount made by a permanent committee,
9 the name and business address of the permanent committee, the date of
10 the contribution, the amount contributed, and a description of the major
11 business, social, or political interest represented by the permanent
12 committee;
- 13 2. For each contribution in excess of one hundred dollars (\$100) made to a
14 candidate or slate of candidates for a statewide-elected state office, or to
15 a campaign committee for a candidate or slate of candidates for a
16 statewide-elected state office, the date, name, address, occupation, and
17 employer of each contributor and the spouse of the contributor or, if the
18 contributor or spouse of the contributor is self-employed, the name
19 under which he or she is doing business, and the amount contributed by
20 each contributor;~~and~~
- 21 3. For each contribution in excess of one hundred dollars (\$100) made to
22 any candidate or campaign committee other than those specified in
23 subparagraph 2. of this paragraph or a political issues committee, the full
24 name, address, age if less than the legal voting age, the date of the
25 contribution, the amount of the contribution, and the employer and
26 occupation of each other contributor. If the contributor is self-employed,
27 the name under which he or she is doing business shall be listed;

1 4. The total amount of cash contributions received during the reporting
2 period; and

3 5. A complete statement of all expenditures authorized, incurred, or made.
4 The complete statement of expenditures shall include the name, address,
5 and occupation of each person to whom an expenditure is made in
6 excess of twenty-five dollars (\$25), and the amount, date, and purpose of
7 each expenditure.

8 (b) Reports of all candidates, slates of candidates, campaign committees, political
9 issues committees, and registered fundraisers shall be made as follows:

10 1. Candidates as defined in KRS 121.015(8), slates of candidates,
11 *candidate-authorized and unauthorized* campaign committees, political
12 issues committees, and fundraisers which register in the year before the
13 year an election in which the candidate, a slate of candidates, or public
14 question shall appear on the ballot, shall file financial reports with the
15 registry at the end of the first calendar quarter after persons become
16 candidates or slates of candidates, or following registration of the
17 committee or fundraiser, and each calendar quarter thereafter, ending
18 with the last calendar quarter of that year. Candidates, slates of
19 candidates, committees, and registered fundraisers shall make all reports
20 required by this section during the year in which the election takes place;

21 2. All candidates, slates of candidates, *candidate-authorized and*
22 *unauthorized* campaign committees, political issues committees, and
23 registered fundraisers shall make reports on the sixtieth day preceding a
24 regular election, including all previous contributions and expenditures;

25 3. All candidates, slates of candidates, *candidate-authorized and*
26 *unauthorized* campaign committees, political issues committees, and
27 registered fundraisers shall make reports on the thirtieth day preceding

- 1 amount of the contribution, and the employer and occupation of each
 2 contributor. If the contributor is self-employed, the name under which he or
 3 she is doing business shall be listed;
- 4 (c) An aggregate amount of cash contributions, the amount contributed by each
 5 contributor, and the date of each contribution; and
- 6 (d) A complete statement of all expenditures authorized, incurred, or made,
 7 including independent expenditures. This report shall be made by a permanent
 8 committee, inaugural committee, or contributing organization to the registry
 9 on the last day of the first calendar quarter following the registration of the
 10 committee with the registry and on the last day of each succeeding calendar
 11 quarter until such time as the committee terminates. A contributing
 12 organization shall file a report of contributions received and expenditures on a
 13 form provided or using a format approved by the registry not later than the last
 14 day of each calendar quarter in which contributions are received or
 15 expenditures are made. All reports to the registry shall be received on or
 16 before each filing deadline, and any report received by the registry within two
 17 (2) business~~[five (5)]~~ days after each filing deadline shall be deemed timely
 18 filed.
- 19 (7) If the final statement of a candidate, campaign committee, or political issues
 20 committee shows an unexpended balance of contributions, continuing debts and
 21 obligations, or an expenditure deficit, the campaign treasurer shall file with the
 22 registry a supplemental statement of contributions and expenditures not more than
 23 thirty (30) days after the deadline for filing the final statement. Subsequent
 24 supplemental statements shall be filed annually, to be received by the registry by
 25 December 1 of each year,~~[and any statement received by the registry within five (5)~~
 26 ~~days after December 1 of each year shall be deemed timely filed,]~~ until the account
 27 shows no unexpended balance, continuing debts and obligations, expenditures, or

deficit, or until the year before the candidate or a slate of candidates seeks to appear on the ballot for the same office for which the funds in the campaign account were originally contributed, in which case the candidate or a slate of candidates shall file the supplemental annual report by December 1 of that year or at the end of the first calendar quarter of that year after the candidate or slate of candidates files nomination papers for the next year's primary or regular election~~], and any report received by the registry within five (5) days after the applicable filing deadline shall be deemed timely filed~~. *All post-election reports to the registry shall cover campaign activity during the entire reporting period and must be received by the registry within two (2) business days after the date the reporting period ends to be deemed timely filed.* All contributions shall be subject to KRS 121.150 *as of the date of the election in which the candidate appeared on the ballot.*

(8) All reports filed under the provisions of this chapter shall be a matter of public record open to inspection by any member of the public immediately upon receipt of the report by the registry.

(9) A candidate or slate of candidates is relieved of the duty personally to file reports and keep records of receipts and expenditures if the candidate or slate states in writing or on forms provided by the registry that:

(a) Within five (5) business days after personally receiving any contributions, the candidate or slate of candidates shall surrender possession of the contributions to the treasurer of their principal campaign committee without expending any of the proceeds thereof. No contributions shall be commingled with the candidate's or slated candidates' personal funds or accounts. Contributions received by check, money order, or other written instrument shall be endorsed directly to the campaign committee and shall not be cashed or redeemed by the candidate;

(b) The candidate or slate of candidates shall not make any unreimbursed

1 expenditure for the campaign, except that this paragraph does not preclude a
2 candidate or slate from making an expenditure from personal funds to the
3 designated principal campaign committee, which shall be reported by the
4 committee as a contribution received; and

5 (c) The waiver shall continue in effect as long as the candidate or slate of
6 candidates complies with the conditions under which it was granted.

7 (10) No candidate, slate of candidates, campaign committee, political issues committee,
8 or contributing organization shall use or permit the use of contributions or funds
9 solicited or received for the person or in support of or opposition to a public issue
10 which will appear on the ballot to further the candidacy of the person for a different
11 public office, to support or oppose a different public issue, or to further the
12 candidacy of any other person for public office; except that nothing in this
13 subsection shall be deemed to prohibit a candidate or slate of candidates from using
14 funds in the campaign account to purchase admission tickets for any fundraising
15 event or testimonial affair for another candidate or slate of candidates if the amount
16 of the purchase does not exceed two hundred dollars (\$200) per event or affair. Any
17 funds or contributions solicited or received by or on behalf of a candidate, slate of
18 candidates, or any committee, which has been organized in whole or in part to
19 further any candidacy for the same person or to support or oppose the same public
20 issue, shall be deemed to have been solicited or received for the current candidacy
21 or for the election on the public issue if the funds or contributions are solicited or
22 received at any time prior to the regular election for which the candidate, slate of
23 candidates, or public issue is on the ballot. Any unexpended balance of funds not
24 otherwise obligated for the payment of expenses incurred to further a political issue
25 or the candidacy of a person shall, in whole or in part, at the election of the
26 candidate or committee, escheat to the State Treasury, be returned pro rata to all
27 contributors, or, in the case of a partisan candidate, be transferred to a caucus

1 campaign committee, or to the state or county executive committee of the political
 2 party of which the candidate is a member except that a candidate, committee, or an
 3 official may retain the funds to further the same public issue or to seek election to
 4 the same office or may donate the funds to any charitable, nonprofit, or educational
 5 institution recognized under Section 501(c)(3) of the United States Internal Revenue
 6 Code of 1986, as amended, and any successor thereto.

7 (11) ~~[(a) For the purposes of this subsection, "election cycle," as applied to~~
 8 ~~contributions, expenditures, or loans to support or oppose a candidate for a~~
 9 ~~particular office, means the period of time beginning January 1 following a regular~~
 10 ~~election for the office and ending December 31 following the next regular election~~
 11 ~~for that office.~~

12 (b) ~~For the purpose of this subsection, "election cycle," as applied to contributions,~~
 13 ~~expenditures, or loans to support or oppose a constitutional amendment or public~~
 14 ~~question which appears on the ballot, means the period of time beginning January 1~~
 15 ~~following a regular election for any state legislative office and ending December 31~~
 16 ~~following the next regular election for any state legislative office.~~

17 (e) ~~If adequate and appropriate agency funds are available to implement this~~
 18 ~~subsection, electronic[the option of Electronic] reporting shall be made available by~~
 19 ~~the registry to all candidates, slates of candidates, committees, contributing~~
 20 ~~organizations, registered fundraisers, and persons making independent~~
 21 ~~expenditures, [in addition to those candidates, slates of candidates, and campaign~~
 22 ~~committees that are required to electronically report under KRS 121.120(6)(h).~~

23 (12) ~~Filers specified in subsection (11) of this section may file required campaign~~
 24 ~~finance reports in paper or electronic format. If the candidate or slate of candidates~~
 25 ~~chooses to file a report in electronic format,] The electronic report submitted to the~~
 26 ~~registry[copy] shall be the official campaign finance report[version] for audit and~~
 27 ~~other legal purposes, whether mandated or filed by choice.~~

1 ~~(12)~~~~(13)~~ Filers not required to file reports electronically, as set forth in this section, are
 2 strongly encouraged to do so voluntarily.

3 ~~(13)~~~~(14)~~ The date that an electronic or on-line report shall be deemed to have been filed
 4 with the registry shall be the date on which it is received by the registry.

5 ~~(14)~~~~(15)~~ All electronic or online filers shall affirm, under penalty of perjury, that the
 6 report filed with the registry is complete and accurate.

7 ~~(15)~~~~(16)~~ Filers who submit electronic campaign finance reports~~[computer disks]~~
 8 which are not readable, or cannot be copied, or are not accompanied by any
 9 requisite paper copy shall be deemed to not be in compliance with the requirements
 10 set forth in this section.

11 ~~(16)~~~~(17)~~ Beginning with the primary scheduled in calendar year 2020, and for each
 12 subsequent election scheduled thereafter, reports required to be submitted to the
 13 registry involving candidates, slates of candidates, committees, contributing
 14 organizations, and independent expenditures shall be reported electronically~~[No~~
 15 ~~candidate is obligated to file any reports electronically, except for those candidates,~~
 16 ~~slates of candidates, and campaign committees that are required to electronically~~
 17 ~~report under KRS 121.120(6)(h)].~~

18 ~~(17)~~~~(18)~~ (a) On each paper and electronic form that the registry~~[it]~~ supplies for the
 19 reports required under subsections (2), (3), and (6) of this section, the registry
 20 shall include an entry reading, "No change since last report."

21 (b) If a person or entity that is required to report under subsection (2), (3), or (6)
 22 of this section has received no money, loans, or other things of value from any
 23 source since the date of its last report and has not authorized, incurred, or
 24 made any expenditures since that date, the person or entity may check or
 25 otherwise designate the entry that reads, "No change since last report." A
 26 person or entity designating this entry in a report shall state the balance carried
 27 forward from the last report but need not specify receipts or expenditures in

1 further detail.

2 ➔Section 4. KRS 121.210 is amended to read as follows:

- 3 (1) Each candidate or slate of candidates may designate one (1) central campaign
 4 committee as a candidate-authorized campaign committee. If so designated, the
 5 central campaign committee shall receive all reports made by any other campaign
 6 committee authorized in writing by the candidate or slate of candidates to accept
 7 contributions or make expenditures for the purpose of influencing the nomination
 8 for election, or election, of the candidate or slate of candidates who designated ~~it~~
 9 ~~as his~~ central campaign committee.
- 10 (2) Each statement or report which a candidate-~~an~~-authorized committee is required
 11 to file with or furnish to the registry shall, if that committee is not a central
 12 campaign committee, be furnished instead to the central campaign committee for
 13 the candidate on whose behalf that committee is, or is established for the purpose
 14 of, accepting contributions or making expenditures.
- 15 (3) Each central campaign committee shall receive all reports and statements filed with
 16 or furnished to it by other candidate authorized committees, and shall consolidate
 17 and furnish the reports and statements to the registry, together with its own reports
 18 and statements as prescribed by KRS 121.180.
- 19 (4) A campaign committee~~[Campaign committees]~~ not authorized by, or which
 20 has~~have~~ been disavowed by the candidate, shall not include the name of the
 21 candidate as part of the committee's name and shall file the reports and statements
 22 with the registry as prescribed in KRS 121.180 as an unauthorized campaign
 23 committee.



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