



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

**REGISTRY
BOARD MEETING
NOTES & AGENDAS
1999 THROUGH 2020**

**Kentucky Registry of Election Finance
Board Meeting Agendas
1999 thru 2020**

Year	Month	Day	Page
1999	January	21	2
	July	15	3
	October	15	4
	November	19	5
2000	March	22	6
	May	9	7
	August	28	9
	November	8	10
2001	February	1	11
	August	1	12
2002	January	31	13
	May	20	14
	September	19	15
2003	March	27	17
	April	17	19
	May	22	20
	September	15	21
	December	10	22
2004	January	24	23
	May	12	24
	October	27	26
	December	21	28
	May	11	31
	May	25	33
	September	19	34
2006	February	13	36
	July	11	40
	September	26	42
2007	March	1	45
	July	30	47
	August	27	49
	December	5	50
2008	April	14	52

Year	Month	Day	Page
	August	8	53
	December	17	55
2009	June	3	57
	September	25	59
2010	March	25	62
	August	6	64
	November	18	66
2011	April	15	67
	November	30	69
2012	April	27	71
	August	29	73
	November	28	75
2013	March	14	77
	June	4	80
	October	30	81
2014	March	20	83
	September	18	85
2015	April	17	87
	September	24	91
2016	March	23	92
	September	29	93
2017	February	23	95
	June	14	99
	September	27	101
2018	February	21	103
	June	27	105
	October	11	107
2019	February	28	109
	July	18	111
	September	26	113
2020	February	27	115
	June	11	116
	September	24	118

KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
Regular Meeting
January 21, 1999

AGENDA

Call to Order and Roll Call

- 1. Approval of October 21, 1998 Minutes**
- 2. Approval of Conciliation Agreements**
 - a.) Board Directed Agreements
 - b.) Late Filing of Reports

OLD BUSINESS

- 3. Victoria L. Kelley v. James N. Blanton, Case No. 98-126**
Alleges violation of KRS 121.310 – Continued from August 11, 1998
- 4. Ruth Bauman v. Northern KY Right to Life PAC, Fred Summe, Treasurer, Friends of Gex “Jay” Williams Campaign Committee, Steven A. Briner, Treasurer, and Northern KY Right to Life (a/k/a Right to Life of Northern KY), Case No. 95-121**

NEW BUSINESS

- 5. Mary Durham v. Charlie Strole, Case No. 98-759**
Alleges violation of KRS 121.190
- 6. Frank Ballard v. Kenneth Smith, Case No. 98-735**
Alleges violation of KRS 121.190
- 7. William B. Schilling v. Don Younger and Gary Webster, Case No. 98-936**
Alleges violation of KRS 121.190
- 8. Curt Quiggins v. Michael Weaver, Case No. 98-938**
Alleges violation of KRS 121.190
- 9. Stephen M. Smith v. John E. Combs, Case No. 98-940**
Alleges violation of KRS 121.190
- 10. John Fortner v. Paula Miller, Case No. 98-206**
Alleges violations of KRS 121.150(6), (9), and (12), KRS 121.180(3)(a), KRS 121.160(2), KRS 121.150(21), and KRS 121.025
- 11. Catherine Bell, Republican Party of KY v. Keep Judge Paul Committee**
Case No. 98-939
Alleges violations of KRS 121.025 and KRS 121.150(1)
- 12. Donald L. Shaw v. Charles R. Ashley, Case No. 98-1039**
Alleges violation of KRS 121.180(1)
- 13. Advisory Opinion Request**
 - a.) 98-013 Fred E. Fisher
 - b.) 98-014 Mary Jane Phelps
 - c.) 98-015 KY Beer Wholesalers PAC
 - d.) 98-016 Patton for Governor Exploratory Committee
- 14. Budget Report**
- 15. Executive Director's Report**
- 16. Adjournment - Next tentative meeting –**

KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
Regular Meeting
July 15, 1999

AGENDA

Call to Order and Roll Call

Swearing in of new member Scott Cox

- 1. Approval of April 20, 1999 Minutes**
- 2. Executive Session**
- 3. Approval of Conciliation Agreements**
 - a.) Board Directed Agreements
 - b.) Late Filing of Reports
- 4. Jack Snodgrass v. Kenneth Cole, KREF Case No. 98-1046**
KRS 121.180 – failure to file required reports
- 5. John E. Combs v. Stephen M. Smith, KREF Case No. 99-7**
KRS 121.190 – disclaimer violations
- 6. Stephen M. Smith v. John E. Combs, KRS Case No. 99-19**
KRS 121.190 – disclaimer violations
- 7. Terry Jenkins v. Concerned Citizens of Hardin County, Chuck Carey**
KREF Case No. 99-8
KRS 121.150 – failure to report an independent expenditure and KRS 121.190 – disclaimer violations
- 8. Advisory Opinion Requests**
 - a.) 99-007 – Southern Leadership Conference
 - b.) 99-008 – Patton/Henry for KY Committee
- 9. Budget Report**
- 10. Executive Director's Report**
- 11. Adjournment - Next meeting – October 15, 1999**

KENTUCKY REGISTRY OF ELECTION FINANCE

**140 WALNUT STREET
FRANKFORT, KY 40601**

**Regular Meeting
October 15, 1999**

AGENDA

Call to Order and Roll Call

Swearing in of New Members by Judge William L. Graham, Franklin Circuit Court

Election of Chair

NEW BUSINESS

1. Approval of July 15, 1999 Minutes

2. Approval of Conciliation Agreements

- a.) Board Directed Agreements
- b.) Late Filing of Reports

3. Paul F. Guthrie v. Susan D. Johns, Case No. 1999-21

Alleges failure to file complete reports as required by KRS 121.180(3)

4. 1999 Primary Audit: Ed Hatchett Campaign Fund (State Auditor)

5. Advisory Opinion Requests

- a.) 99-009 – Natural Law Party of KY
- b.) 99-010 - Hobby 99
- c.) 99-011 - Patton-Henry for KY Committee

6. Budget Report: Darlene Burgess

7. Information Technology Update: Steve Smith

- Electronic Filing
- KREF Y2K Preparedness
- KREF Home Page

8. Executive Director's Report

9. For Your Information

- State and Local Interest
- National Interest

10. Future Meetings: Dates

Adjournment

KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
Regular Meeting
November 19, 1999

AGENDA

Call to Order and Roll Call

New Business

1. Approval of October 15, 1999 Minutes
2. Approval of Conciliation Agreements
 - a.) Board Directed Agreements
 - b.) Late Filing of Reports
3. 1999 Primary Audit: Billy Ray Smith Campaign (Commissioner of Agriculture)
4. Budget Report
5. Executive Director's Report
6. Draft Legislative Proposals - 2000 Session

Future Meeting

Adjournment

KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
Regular Meeting
March 22, 2000

AGENDA

Call to Order and Roll Call

Swearing in of New Member Susan Porter Cooper: Hon. Tom Wingate
Judge, Franklin District Court

New Business

1. **Approval of November 19, 1999 Minutes**
2. **Budget Report:** Rebecca Feland, Fiscal Officer
3. **Approval of Conciliation Agreements:** Rosemary Center, General Counsel
 - a.) Board Directed Agreements
 - b.) Late Filing of Reports
4. **1999 Primary Audit: Kentuckians for Chandler Committee**
Mary Ann Gill, Director, Division of Auditing, Compliance and Public Financing
5. **Noble Austin Chinn v. David Johnston, Case No. 1999-190:** Rosemary Center
Alleges violation of KRS 121.190(1)
6. **George W. Blackburn v. David Johnston, Case No. 1999-252:** Rosemary Center
Alleges violation of KRS 121.190(1)
7. **KREF v. Bill Wilson Campaign Fund, William R. Tichenor, as its Treasurer, Case No. 1999-253:** Rosemary Center
Alleges violations of KRS 121.160(2)(b)
8. **Federal Election Commission: State Waiver/Electronic Access Program**
Eileen Canavan, Deputy Assistant Staff Director for Disclosure, FEC
Jeff Chumley, Electronic Filing Coordinator, FEC
9. **House Bill No. 750: Status Report:** Sarah Jackson, Executive Director
10. **House Bill No. 939: Electronic Filing & Disclosure**
Liz White, Registry Member
11. **Election Finance Training Seminars**
Peggy Rayborn, Director, Division of Administration: Reporting & Compliance
12. **Executive Director's Report:** Sarah Jackson

Future Meeting Date(s)

Adjournment

KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
Regular Meeting
May 9, 2000

AGENDA

Call to Order and Roll Call

1. **Approval of November 19, 1999 Minutes**
2. **Approval of March 22, 2000 Minutes**
3. **Budget Report:** Rebecca Feland, Fiscal Officer
4. **Approval of Conciliation Agreements:** Rosemary Center, General Counsel
 - a.) March 22, 2000 - Board Directed Agreements and Late Filing of Reports
 - b.) May 9, 2000 - Late Filing of Reports

OLD BUSINESS

5. **1999 Primary Audit: Kentuckians for Chandler Committee**
Mary Ann Gill, Director, Division of Auditing, Compliance and Public Financing
6. **Noble Austin Chinn v. David Johnston, Case No. 1999-190:** Rosemary Center
Alleges violation of KRS 121.190(1)
7. **George W. Blackburn v. David Johnston, Case No. 1999-252:** Rosemary Center
Alleges violation of KRS 121.190(1)
8. **KREF v. Bill Wilson Campaign Fund, William R. Tichenor, as its Treasurer,**
Case No. 1999-253: Rosemary Center
Alleges violations of KRS 121.160(2)(b)

NEW BUSINESS

9. **Michael Douglas Lewis v. Courier Journal, Case No. 1999-153:** Rosemary Center
Alleges violations of KRS 121.025 and KRS 121.035
10. **Michael Douglas Lewis v. Patton-Henry for Kentucky and Lexington Herald-Leader,**
Case No. 1999-157: Rosemary Center
Alleges violations of KRS 121.025, KRS 121.035, KRS 121.150(24) and KRS 121A.030(5)

11. **John Riley v. Patton-Henry for Kentucky, Courier Journal and Lexington Herald -Leader, Case No. 1999-154: Rosemary Center**
Alleges violation of KRS 121.025
12. **Richard Alan Lewis v. Courier Journal, Paul Patton, and Patton-Henry for Kentucky, Case No. 1999-158: Rosemary Center**
Alleges violations of KRS 121.025, KRS 121.035, KRS 121.150(24), and KRS 121A.030(5)
13. **Status Report - Adventure Communications, Inc. et al v. Kentucky Registry Election Finance, 24 F. Supp. 2d 632 (S.D. W. Va. 1998)**
Adventure Communications, Inc. v. Kentucky Registry of Election Finance, 191 F. 3d 429 (4th Cir. 1999): Rosemary Center, General Counsel
14. **1999 Primary and General Audit: Friends of John Y. Brown III: Greg Cordier, Auditor**
15. **1999 Primary Audit: Campaign Fund of the Williams/Williams Slate: Greg Cordier**
16. **Legislation 2000: Sarah M. Jackson, Executive Director**

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

140 WALNUT STREET
FRANKFORT, KY 40601

Regular Meeting
August 28, 2000

AGENDA

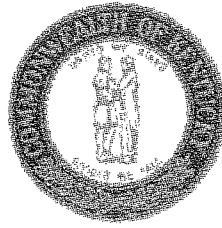
Call to Order and Roll Call

Swearing in of Judith Susan Boggs: Hon. William Graham
Franklin Circuit Court

- 1. Approval of May 9, 2000 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary Center, General Counsel
- 3. Budget Report:** Rebecca Feland, Fiscal Officer
- 4. Kathy Lyons v. Paul Patton, Steve Henry, Patton-Henry for KY, George Helton, Treasurer, Lexington Herald-Leader, The Courier Journal, The Paducah Sun (Paxton Media Group), KY Educational Television (KET), and KREF, Case No. 1999-156**
Alleges violations of KRS 121.025, 121.035, 121.150(24), KRS 121A.020(5), 121A.030(5), KRS 121A.050(1), KRS 121.120(4)(I)(m)&(n), KRS 121.120(5), KRS 121.180(3)(a)(5), KRS 121A.100, 32 KAR 2:170 §1(4) and 32 KAR 1:180 §4
- 5. John Frederick v. Paul Patton, Steve Henry, Patton-Henry for KY, George Helton, Treasurer, The Courier Journal, and Lexington Herald-Leader, Case No. 1999-159**
Alleges violations of KRS 121.025, 121.035(1), 121.150(24) and KRS 121A.030(5)
- 6. 1999 General Audit: Campaign for Kentucky '99 - Galbraith/Lyons Governor Slate**
Mary Anne Gill, Director, Division of Auditing, Compliance, and Public Financing
- 7. 1999 General Audit: Ed Hatchett Campaign - Auditor of Public Accounts**
Mary Anne Gill
- 8. Litigation Update:** Rosemary Center
- 9. Executive Director's Report:** Sarah Jackson
- 10. Advisory Opinion 2000-005:** Bill Warner

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

140 WALNUT STREET
FRANKFORT, KY 40601

Regular Meeting
November 8, 2000

AGENDA

Call to Order and Roll Call

Election of Chairman

- 1. Approval of August 28, 2000 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary Center, General Counsel
 - a.) Board Directed Agreements
 - b.) Late Filing of Campaign Finance Reports
 - c.) Approval of Order: Kenneth Cole, JP2000-001
- 3. Budget Report:** Rebecca Feland, Fiscal Officer
- 4. Martha C. Gray v. Friends of Frankfort, Case No. 2000-333:** Rosemary Center
Alleges violation of KRS 121.150
- 5. Steve Kramer v. Mary Ann Woltenberg, Case No. 2000-335:** Rosemary Center
Alleges violations of KRS 121.180(3)(a)
- 6. James Glover v. Sam Catron, Case No. 2000-336:** Rosemary Center
Alleges violations of KRS 121.180
- 7. Eldon J. Renaud v. James R. Bullington, Case No. 2000-414:** Rosemary Center
Alleges violations of KRS 121.025, KRS 121.035, and KRS 121.150(21)
- 8. 1999 General Audit: Jumoke-Yarbrough/Flodstrom Slate:**
Mary Anne Gill, Director, Division of Auditing, Compliance, and Public Financing
- 9. Executive Director's Report:** Sarah Jackson

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

140 WALNUT STREET
FRANKFORT, KY 40601

Regular Meeting
February 1, 2001

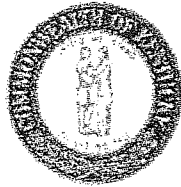
AGENDA

Call to Order and Roll Call

1. **Approval of November 8, 2000 Minutes**
2. **Approval of Conciliation Agreements:** Rosemary Center, General Counsel
 - a.) Late Filing of Campaign Finance Reports
 - b.) Approval of Order: Phillip Gerald Kaelin, Case No. JP2000-003
3. **Budget Report:** Rebecca Feland, Fiscal Officer
4. **KREF v. Scott Madon Campaign Fund, Elizabeth Madon, Treasurer,**
Case No. 2000-339: Rosemary Center
Alleges violation of KRS 121.180
5. **KREF v. Susan D. Johns Campaign Fund, Kenneth J. Kling, Treasurer, and Hass Marketing Corporation, Raymond T. Hass, Agent, Case No. 2000-418:** Rosemary Center
Alleges violations of KRS 121.025 and KRS 121.150(22)
6. **John Ellenbogen v. Steve Cooper, Beth Ruttle Rose, Ronald S. Powell, Jude S. Hehman, Bob Wilder, Vicki S. Boerger, Michael Plummer, Kathlyn Groob, and Richard Wentz**
Case No. 2000-491: Rosemary Center
Alleges violations of KRS 121.190(1)
7. **Legislative Update:** Sarah Jackson, Executive Director
8. **Electronic Filing Project Update:** Stephen T. Smith, Information Systems Manager
9. **Executive Director's Report:** Sarah Jackson

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

140 WALNUT STREET
FRANKFORT, KY 40601

Regular Meeting
August 1, 2001

AGENDA

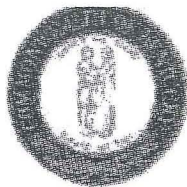
Call to Order and Roll Call

Swearing in of Richard Beliles, Jay Fossett, and Scott Madon: Hon. William Graham, Judge
Franklin Circuit Court

- 1. Approval of February 1, 2001 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary Center, General Counsel
 - a.) Late Filing of Campaign Finance Reports
 - b.) Approval of Board Directed Conciliation Agreements
 - c.) Approval of Judicial Orders
- 3. Budget Report:** Rebecca Feland, Fiscal Officer
- 4. KREF v. Friends of Jonathan Miller Campaign Fund, William A. Dermody, Treasurer, and Brown and Associates Real Estate, Inc., Case No. 2001-87:** Rosemary Center
1999 Primary Audit: Alleges violations of KRS 125.025, 121.150(22), and KRS 121.150(6)
- 5. 1999 General Election Audit: Friends of Jonathan Miller Campaign Fund:**
Mary Anne Gill, Director, Division of Auditing, Compliance, and Public Financing
- 6. John Ellenbogen v. Kathryn Groob, Case No. 2001-86:** Rosemary Center
Alleges violations of KRS 121.180
- 7. KREF v. Hass Marketing, Case No. 2000-418**
Motion for Reconsideration
- 8. Electronic Filing Project Update:** Stephen T. Smith, Information Systems Manager
- 9. Legislative Update:** Rosemary Center
- 10. Executive Director's Report:** Sarah Jackson

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

**140 WALNUT STREET
FRANKFORT, KY 40601**

Regular Meeting

January 31, 2002

AGENDA

Call to Order and Roll Call

**Swearing in of Richard Beliles and Susan Cooper: Hon. William Graham, Judge
Franklin Circuit Court**

Election of Chairman

1. Approval of August 1, 2001 Minutes

2. Approval of Conciliation Agreements: Rosemary Center, General Counsel

- a.) Late Filing of Campaign Finance Reports
- b.) Approval of Board Directed Conciliation Agreements
- c.) Approval of Judicial Orders

3. Budget Report: Rebecca Feland, Fiscal Officer

**4. Randy Johnson v. Troy Seelye, Case No. 2001-116: Rosemary Center
Alleges violation of KRS 121.180(3)(b)**

**5. Don Fornwalt v. Virginia Woodward, Case No. 2001-117: Rosemary Center
Alleges violation of KRS 121.150(6)**

**6. Statewide Audit: Campaign Fund of Ed Hatchett, 1999 General Election: Mary Anne Gill,
Director, Division of Auditing, Compliance, and Public Financing**

7. ITC Audits: Mary Anne Gill

- a.) Jefferson County Democratic Executive Committee
- b.) Jefferson County Republican Executive Committee
- c.) Kentucky State Democratic Executive Committee
- d.) Kentucky State Republican Executive Committee

8. KREF Electronic Filing Project Update: Stephen T. Smith, Information Systems Manager

- Review/Approval of Proposed Administrative Regulation: Promulgation of a Standard File Format and Procedures for Submitting Test Files for Electronic Reporting

9. Legislative Update: Rosemary Center

10. Election Finance Training Seminars: Update: Peggy Rayborn, Director, Division of Administration

**11. Exploratory Committees for Gubernatorial Candidates: Introduction to Process and Procedures:
Mary Anne Gill**

12. Executive Director's Report: Sarah Jackson

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

Regular Meeting
May 20, 2002

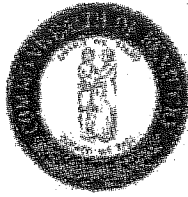
AGENDA

Call to Order and Roll Call

- 1. Approval of January 31, 2002 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary Center, General Counsel
 - a.) Late Filing of Campaign Finance Reports
 - b.) Approval of Board Directed Conciliation Agreements
- 3. Budget Report:** Rebecca Feland, Fiscal Officer
- 4. Denise Harper-Angel v. Evelyn Angert, Case No. 2002-81:** Rosemary Center
Alleges violation of KRS 121.180
- 5. KREF v. "Hello Peppy!" Campaign Committee, '99 Primary Election, Peppy Martin, Wanda Cornelius, John McKenney, Treasurer, and Randall Powell, Chairman, Case No. 2002-82:** Rosemary Center
Alleges violations of KRS 121A.070(1), 121.160(2)(a), 121.160(2)(c), 121A.070(5), 121.180(9), & 121.180(3)(a)(1) - making expenditures by the candidate outside the authorized campaign committee account, failure to retain receipts, and failure to report all contributor information
- 6. KREF v. "Hello Peppy!" Campaign Committee, '99 General Election, Peppy Martin, Wanda Cornelius, John McKenney, Treasurer, and Randall Powell, Chairman, Case No. 2002-83:** Rosemary Center
Alleges violations of KRS 121A.070(1), 121.160(2)(a), 121.160(2)(c), 121A.075(5), 121.180(9) & 121.180(3)(a)(1) - making expenditures by the candidate outside authorized campaign committee account, failure to retain receipts, failure to report all expenditure and contributor information
- 7. Paul Resch v. Tina Ward-Pugh, Case No. 2002-84:** Rosemary Center
Alleges violations of KRS Chapter 121
- 8. Statewide Audit: Kentuckians for Chandler:** Attorney General - 1999 General Election:
Mary Anne Gill, Director, Division of Auditing, Compliance, and Public Financing
- 9. KREF Electronic Filing Project Update:** Stephen T. Smith, Information Systems Manager
- 10. Litigation Update:** Rosemary Center
- 11. Legislative Session 2002 Review:** Rosemary Center
- 12. Regulation Update: 32 KAR 2:220E:** Rosemary Center
- 13. Election Finance Training Sessions:** Peggy Rayborn, Director, Division of Administration
- 14. Executive Director's Report:** Sarah Jackson

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

**140 WALNUT STREET
FRANKFORT, KY 40601**

**Regular Meeting
September 19, 2002**

AGENDA

Call to Order and Roll Call

**Swearing in of Sam Burchett and Jeff Tipton: Hon. William Graham, Judge
Franklin Circuit Court**

Election of Chairman

- 1. Approval of May 20, 2002 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary F. Center, General Counsel
 - a.) Approval of Board Directed Conciliation Agreements
 - b.) Late Filing of Campaign Finance Reports
- 3. Budget Report:** Rebecca Feland, Fiscal Officer
- 4. Legislative Research Commission's Program Review and Investigations Committee: Study Project:
Public Funding of Kentucky Gubernatorial Campaigns:** Sarah M. Jackson, Executive Director
- 5. Republican Party of KY & Ellen Williams, Chairman v. R. J. Palmer, II, Thomas M. Lynch, II, Jordan-Chiles, Inc., Emmons & Co., and Dale Emmons, as its President, Case No. 2001-119:** Rosemary F. Center
Alleges violations of KRS 121.025 - corporate contributions, KRS 121.180 and KRS 121.160 - failure to file complete and accurate reports
- 6. Bill Neal v. John A. Settles, Case No. 2002-95:** Rosemary F. Center
Alleges violation of KRS 121.190 - disclaimer
- 7. Donnie Morris, Sr. v. Cheri Hamilton Bryant, Case No. 2002-100:** Rosemary F. Center
Alleges violation of KRS 121.190 - disclaimer, and that use of constituent publications paid for with City of Louisville funds are being used to further candidacy
- 8. Laura Webster v. Roger Teague, Case No. 2002-105:** Rosemary F. Center
Alleges violation of KRS 121.190 - disclaimer
- 9. Michael S. Perkins v. Dick Hays, Case No. 2002-256:** Rosemary F. Center
Alleges violation of KRS 121.190 - disclaimer
- 10. M. Tommy Riddle v. James H. Peden, Case No. 2002-261:** Rosemary F. Center
Alleges violations of KRS 121.055 - promising something of value in exchange for vote, 121.190 - disclaimer, and 121.310 - coercion of an employee's vote

11. **Kevin Marsh v. Barbara Gregg, Case No. 2002-266:** Rosemary F. Center
Alleges violations of KRS 121.175 - allowable campaign expenditures
12. **Jendra Sturgill v. Terry Keelin & Elbert C. Bowe, Jr., Case No. 2002-424:** Rosemary F. Center
Alleges violations of KRS 121.025 - acceptance of corporate contributions, and 121.150 - filing false reports
13. **James Jenkins v. Herb Travis & Paul Howard, Case No. 2002-861:** Rosemary F. Center
Alleges violations of KRS 121.150 - making independent expenditures without filing report, and KRS 121.190 - disclaimer
14. **Joni Jenkins v. Paul Howard, Case no. 2002-862:** Rosemary F. Center
Alleges violations of KRS 121.015 - making independent expenditures without filing report
15. **Statewide Audit: Patton-Henry for Kentucky Committee - 1999 Primary Election:** Mary Anne Gill,
Director, Division of Auditing, Compliance and Public Financing
16. **Fayette County Democratic Executive Committee ITC Audit:** Mary Anne Gill
17. **Litigation Update:** Rosemary F. Center
18. **Executive Director's Report:** Sarah Jackson
19. **Overview of Public Financing Campaign Act (KRS Chapter 121A):** Mary Anne Gill and Rosemary F. Center
20. **Administrative Regulation Update:** Rosemary F. Center
 - a.) Former Emergency Regulations:
 - 32 KAR 1:120E - Establishes schedule for public fund transfers
 - 32 KAR 1:130E - Adoption of forms for gubernatorial slates
 - b.) Amendment to Existing Regulation:
 - 32 KAR 1:100 - Adoption of software program for gubernatorial slates

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

**140 WALNUT STREET
FRANKFORT, KY 40601**

**Regular Meeting
March 27, 2003**

AGENDA

Call to Order and Roll Call

Swearing in of John C. Rogers: Hon. William L. Graham, Judge, Franklin Circuit Court

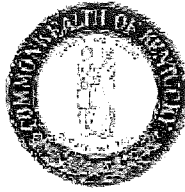
Election of Chairman

- 1. Approval of September 19, 2002 Minutes**
- 2. Approval of Conciliation Agreements: Rosemary F. Center, General Counsel**
 - a.) Approval of Board Directed Conciliation Agreements
 - b.) Late Filing of Campaign Finance Reports
- 3. Budget Report: Rebecca Feland, Fiscal Officer**
- 4. Republican Party of Kentucky, Ellen Williams, Chairman v. R.J. Palmer II, Thomas M. Lynch II, Jordan-Chiles, Inc., Emmons & Co., Inc., and Dale Emmons as its President, Case No.2001-119:**
Rosemary F. Center
Alleges violations of KRS 121.025 & KRS 121.150(22) - unlawful corporate loan, KRS 121.160(2)(c), KRS 121.160(2)(d), KRS 121.160(2)(e) - failure to make authorized campaign expenditures, failure to maintain records, making payment to person not directly providing goods or services
- 5. Phillip J. Rose v. Tom Holocher, Case No. 2002-1378: Rosemary F. Center**
Alleges violation of KRS 121.190(1) - failure to include disclaimer on advertising
- 6. Kevin Marsh v. Barbara Gregg, Case No. 2002-266: Rosemary F. Center**
Alleges violations of KRS 121.175 - allowable campaign expenditures
- 7. Joseph U. Meyer v. Richard Murgatroyd, Case No. 2002-429: Rosemary F. Center**
Alleges violations of KRS 121.150 - excessive campaign contributions, and KRS 121.180 - incorrect reporting
- 8. Danny F. Rock v. Dale M. Morris, Case No. 2002-860: Rosemary F. Center**
Alleges violations of KRS 121.180 - exceeded \$1,000 exemption and failed to file report
- 9. Patrick Lance v. John T. Spence, Case No. 2002-986: Rosemary F. Center**
Alleges violation of KRS 121.180(8) - failure to file report with county clerk
- 10. Karl Litzenmayer v. Concerned Citizens of Covington (Patrick Lance), Case No. 2002-1217:**
Rosemary F. Center
Alleges violations of KRS 121.180 - failure to register as a permanent committee and failure to report, and KRS 121.190 - failure to disclose identity

11. **Delbert Powell v. James L. Noel, Case No. 2002-1207:** Rosemary F. Center
Alleges violation of KRS 121.190(1) - failure to include disclaimer on advertising
12. **Freda Matheny v. Sharon Hyde, Case No. 2002-1212:** Rosemary F. Center
Alleges violation of KRS 121.190(1) - failure to include disclaimer on advertising
13. **Paul H. Marcotte v. Michael Moore, Case No. 2002-1213:** Rosemary F. Center
Alleges violation of KRS 121.190(1) - failure to include disclaimer on advertising
14. **John Wientjes, Sr. v. Ed Winner, Ken Nord, Al Huber, & Alan Mertz, Case No. 2002-1218:**
Rosemary F. Center
Alleges violation of KRS 121.190(1) - failure to include disclaimer on advertising
15. **Alice Frances Curtis v. John W. Black, Case No. 2002-1222:** Rosemary F. Center
Alleges violation of KRS 121.190(1) - failure to include disclaimer on advertising
16. **ITC Audit: Fayette County Republican Executive Committee Audit:** Mary Anne Gill, Director,
Division of Auditing, Compliance and Public Financing
17. **Litigation Update:** Rosemary F. Center
18. **Legislation 2003:** Sarah M. Jackson
19. **Administrative Regulations:** Jennifer Black Hans, Staff Attorney

Future Meeting Date(s): April 17, 2003

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

**140 WALNUT STREET
FRANKFORT, KY 40601**

**Regular Meeting
April 17, 2003**

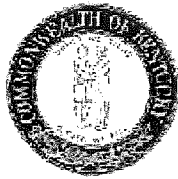
AGENDA

Call to Order and Roll Call

- 1. Approval of March 27, 2003 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary F. Center, General Counsel
Late Filing of Campaign Finance Reports
- 3. Budget Report:** Rebecca Feland, Budget Analyst
- 4. Parker W. Curtis v. Furman W. Wallace, Dick Hays, Charles J. Kavanaugh & Mark Trier,**
Case No. 2002-1384: Rosemary F. Center
Alleges violation of KRS 121.190(1)
- 5. Harold Wayne Newton v. United Steelworkers of America, Local 9443-Unit 1,**
Case No. 2002-1389: Rosemary F. Center
Alleges violation of KRS 121.190(1)
- 6. Alvin Kenneth Smith v. Shirlee Yassney, Case No. 2003-1:** Rosemary Center
Alleges violations of KRS 121.150(6) and KRS 121.180(3)
- 7. Alvin Kenneth Smith v. Shirlee Yassney, Case No. 2003-78:** Rosemary F. Center
Alleges violation of KRS 121.045
- 8. Correspondence Received from John McCarthy:** Federal PAC's/Out-of-State Committees
- 9. 2000 ITC Audits: Report to General Assembly: KRS 121.230(5)**
- 10. KREF Electronic Filing Project Update:** Stephen T. Smith, Information Systems Manager
- 11. Administrative Regulation Update: 32 KAR 2:220:** Jennifer Hans, Staff Attorney
- 12. Litigation Update:** Rosemary F. Center
- 13. Executive Director's Report**

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
Regular Meeting
May 22, 2003
AGENDA

Call to Order and Roll Call

- 1. Approval of April 17, 2003 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary F. Center, General Counsel
Late Filing of Campaign Finance Reports
- 3. Budget Report:** Rebecca Feland, Budget Analyst
- 4. Harold Wayne Newton v. United Steelworkers of America, Local 9443-Unit 1,**
Case No. 2002-1389: Rosemary F. Center
- 5. G. Michael Karem v. Ernie Fletcher, Case No. 2003-82:** Rosemary F. Center, General Counsel
- 6. Correspondence Received from John McCarthy:** Federal PAC's/Out-of-State Committees
- 7. Litigation Update:** Rosemary F. Center
- 8. Regulations Update:** Jennifer Hans, Staff Attorney
32 KAR 2:220 - Amendment (Electronic File Format)
32 KAR 1:020 - Amendment (Appointment of Campaign Treasurer and Optional Reporting Exemption Form)
- 9. Executive Director's Report**
- 10. New Business**

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

**140 WALNUT STREET
FRANKFORT, KY 40601**

**Regular Meeting
September 15, 2003
AGENDA**

Call to Order and Roll Call

**Swearing in of Sam Burchett and John Rogers: Hon. William Graham, Judge
Franklin Circuit Court**

Election of Chairman

- 1. Approval of May 22, 2003 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary F. Center, General Counsel
 - a.) Late Filing of Campaign Finance Reports
 - b.) Board Directed Conciliation Agreements
 - c.) Approval of Judicial Orders of Dismissal
- 3. Introduction of State Democratic Party Chair Susan Westrom and State Republican Party Chair Ellen Williams:** John C. Rogers, Chair, and Sam P. Burchett, Vice-Chair
- 4. Budget Report:** Rebecca Feland, Budget Analyst
- 5. Correspondence Received from John McCarthy:** Federal PAC's/Out-of-State Committees
- 6. Litigation Update:** Rosemary F. Center
- 7. Administrative Regulations Update:** Jennifer Hans, Staff Attorney
- 8. Legislation:** Sarah M. Jackson, Executive Director
- 9. Inaugural Committees:** MaryAnne Gill, Director, Division of Auditing, Compliance and Public Financing
- 10. KREF Online Searchable Database Training Report:** Stephen Smith, Information System Manager
- 11. 2004 Candidate Training Sessions:** Peggy Rayborn, Director, Division of Administration
- 12. Executive Director's Report**
- 13. New Business**

Future Meeting Date(s)

Adjournment

Table of Contents

December 10, 2003

No Agenda
in Record
Notebook

1

Approval of September 15, 2003 Minutes

Approval of Conciliation Agreements:

- a) Late Filing
- b) Board Directed
- c) Approval of Judicial Order

3

Budget Report

4

Resolution Commending Secretary of State
John Y. Brown III

5

Introduction of Secretary of State-Elect
Trey Grayson

6

Litigation Update

7

Jeffrey Middendorf v. Merrick S. Krey
Case No. 2003-131

8

Proposal for Advisory Task Force

9

Report to General Assembly: KRS 121A.020(3)

10

2004 Candidate Training Sessions

11

Executive Director's Report

12

Update: Task Force on Elections, Constitutional
Amendments & Intergovernmental Affairs

KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
SPECIAL MEETING
January 24, 2004
7:30 p.m.

AGENDA

Call to Order and Roll Call

1. Executive Session: Discussion of Pending Litigation: *Hobart Ward Anderson, et al. v. Lloyd E. Spear, et al., United States Court of Appeals for the Sixth Circuit, Case No. 02-5529*
2. Board Action: *Hobart Ward Anderson, et al. v. Lloyd E. Spear, et al., United States Court of Appeals for the Sixth Circuit, Case No. 02-5529*
3. Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
May 12, 2004**

AGENDA

Call to Order and Roll Call

- 1. Approval of December 10, 2003 Minutes**
- 2. Approval of January 24, 2004 Minutes**
- 3. Approval of Conciliation Agreements: Rosemary F. Center, General Counsel**
 - a.) Board Directed Conciliation Agreements**
 - b.) Late Filing of Campaign Finance Reports**
- 4. Budget Report: Rebecca Feland, Budget Analyst**
- 5. Introduction of State Republican Party Chairman John McCarthy: John Rogers, Chair**
- 6. Approval of Statewide Audits: Mary Anne Gill, Director, Division of Auditing, Compliance and Public Financing**
 - a.) Billy Ray Smith for Commissioner of Agriculture, 1999 General Election**
 - b.) James Glenn for Auditor of Public Accounts, 2003 Primary Election**
 - c.) Alice Baesler for Commissioner of Agriculture, 2003 Primary and General Elections**
 - d.) Joey Pendleton for Commissioner of Agriculture, 2003 Primary Election**
- 7. Delbert Powell v. James Noel and Gene Grace, Case No. 2003-177**

Alleges violation of KRS 121.190(1)
- 8. Robert J. Emslie v. Dallas Jones & Jeff Taylor, Case No. 2003-189**

Alleges violation of KRS 121.150(6)
- 9. Betty G. Runner v. Ernest Fletcher and Fletcher for Governor 2003, Case No. 2004-16**

Tina Kellerman v. Ernest Fletcher and Fletcher for Governor 2003, Case No. 2004-17
Prince S. Moore v. Ernest Fletcher and Fletcher for Governor 2003, Case No. 2004-19
Alleges violations of KRS 121.150(25) and KRS 121.310(1)
- 10. Litigation Update: Rosemary F. Center**

- 11. Legislative Wrap-up:** Jennifer Hans, Staff Attorney
- 12. 2004 Candidate Training Seminars: Wrap-up:** Peggy Rayborn, Director, Division of Administration
- 13. Information Technology Report:** Steve Smith, Information System Manager
- 14. Executive Director's Report:** Sarah M. Jackson
- 15. New Business**
- 16. Future Meeting Date(s)**
- 17. Adjournment**

KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601
October 27, 2004

AGENDA

Call to Order and Roll Call

Swearing in of David Samford: Hon. William L. Graham, Judge, Franklin Circuit Court

- 1. Approval of May 12, 2004 Minutes**
- 2. Approval of Conciliation Agreements:** Rosemary F. Center, General Counsel
 - a.) Board Directed Conciliation Agreements**
 - b.) Late Filing of Campaign Finance Reports**
 - c.) Approval of Judicial Hearings**
 - d.) Notice of Administrative Dismissals**
- 3. Budget Report:** Rebecca Feland, Budget Analyst
- 4. Executive Branch Ethics:** Model Code of Ethics for State Board and Commission Members
- 5. Approval of Statewide Audits**

Leslie A. Aitken, Auditor

 - a.) Osi Onyekwuluje for Auditor of Public Accounts, 2003 Primary Election**
 - b.) Peppy Martin for Auditor of Public Accounts, 2003 Primary Election**
 - c.) Crit Luallen for Auditor Committee, 2003 Primary and General Elections**
 - d.) Jack D. Wood for Attorney General, 2003 General Election**
 - e.) Abner/Carrol Slate for Governor, 2003 General Election**

David C. Simpson, Auditor

 - f.) Basha Cannon Roberts for Auditor of Public Accounts, 2003 Primary Election**
- 6. KREF v. Campaign Fund for Secretary of State, Harry "Gippy" Graham, Howard Graham, Treasurer, R B Brown Insurance Agency, Inc. and Robert W. Brown, Agent, Case No. 2004-75**

Alleges violations of KRS 121.025 and KRS 121.150(22)

7. **KREF v. Patton-Henry for Kentucky – General Election 1999, Paul E. Patton, Stephen L. Henry, Judi Patton, Chair, George H. Helton, Treasurer and Dixie Chiropractic Office, PSC, Mehdi Ghayoumi, Agent, Case No. 2004-59**
Alleges violations of KRS 121.025 and KRS 121.150(22)
8. **KREF v. Steve Merideth for Commissioner of Agriculture Campaign Fund, Steve Meredith, W. Brent Mathis, Treasurer and Knockgriffin Farm, Inc., James J. Fitzgeralds, Agent**
Alleges violations of KRS 121.025 and KRS 121.150(23)
9. **KREF v. T.E. “Beck” Beckham Campaign Fund, T.E. “Beck” Beckham, Susan Doyle, Present Treasurer and Mark Goldsmith, Former Treasurer, Case No. 2004-164**
Alleges violations of KRS 121.180(3)(a) and KRS 121.160(2)(c)
10. **The Republican Party of Kentucky, Ellen C. Williams, Chair v. Ben Chandler and the Democratic Party of Kentucky, Case No. 2004-15**
Alleges violations of KRS 121.025, KRS 121.035 and KRS 121.150(22)
11. **Mark Irwin v. Terry Gray, Case No. 2004-21**
Alleges violation of KRS 121.190(1)
12. **Litigation Update:** Rosemary F. Center
13. **Legislation 2005:** Jennifer B. Hans, Staff Attorney
14. **Advisory Task Force for Development of Registry’s Legislative Package:** John Rogers, Chair
15. **Review of Advisory Opinion Process**
16. **Information Technology Report:** Stephen Smith, Information System Manager
17. **Executive Director’s Report:** Sarah M. Jackson, Executive Director
18. **Personnel Recommendations**

New Business

Future Meeting Date(s)

Adjournment

Regular Meeting of the Registry
in the offices of the Registry
140 Walnut Street, Frankfort, Kentucky
December 21, 2004

Present

John Rogers, Chair
Jeffery R. Tipton, Vice-Chair
Richard Beliles, Member
Susan P. Cooper, Member
Jay Jay Fossett, Member
Sarah M. Jackson, Executive Director
Rosemary F. Center, General Counsel

Others Present

Rebecca Feland
Rebecca Ogden

Absent

Scott Madon, Member

OPENING

Pursuant to notice, the meeting was called to order by John Rogers, Chair, at 4:00 p.m. in the offices of the Registry.

EXECUTIVE SESSION

Jeffery Tipton moved that the Board go into Executive Session under the provision of KRS Chapter 61 to discuss specific personnel matters; seconded by Richard Beliles, the motion carried.

Jay Fossett moved that the Board return to open Session; seconded by Richard Beliles, the motion carried. Registry Chair Rogers announced that the Board took no action during the Executive Session.

PERSONNEL ACTION

Susan Cooper moved that, after considering and interviewing a strong group of candidates, the Board appoint Ann Hester to the position of Division Director II, with the working title to be "Division Director of Administration and Audit", directing Registry Chair John Rogers and Executive Director Sarah M. Jackson to work out the details and necessary paper work with

Personnel Liaison Rebecca Ogden, with a view toward getting Ms. Hester “on board” as soon as possible; seconded by Richard Beliles, the motion carried unanimously.

Vice-Chair Jeffery Tipton moved that, after consideration of agency needs and after interviewing and considering a strong group of candidates for the position, the position of Assistant Executive Director be established and that the Board appoint Rhonda Gray to the position of Assistant Executive Director, directing Registry Chair John Rogers and Executive Director Sarah M. Jackson to work out the details and necessary paper work with Personnel Liaison Rebecca Ogden, with a view toward getting Ms. Gray “on board” as soon as possible; seconded by Jay Fossett, the motion carried unanimously.

ADVISORY TASK FORCE UPDATE

Registry Chair Rogers provided an update on the Advisory Task Force, encouraging Registry Members to participate, and reminding Registry Members that the first meeting of the Advisory Task Force was scheduled for January 26, 2005. He announced that David Samford, formerly a Registry Member, had agreed to remain on the Advisory Task Force as Co-Chair, lauding Mr. Samford’s experience and knowledge in campaign finance matters. The Chair announced that Bob Babbage had been drafted to facilitate discussion at the first meeting of the Advisory Task Force.

2004 COGEL CONFERENCE

Registry Chair Rogers reported on the 2004 COGEL Conference, held in San Francisco, California, attended by Registry Chair Rogers, Registry Member Richard Beliles and Registry Executive Director Sarah M. Jackson, noting that it was an excellent seminar and provided an opportunity to learn what campaign finance activities other states were engaged in, and that topics had proved particularly relevant to the Advisory Task Force’s mission. He thanked the Board for

previously authorizing attendance at the seminar.

LEGISLATIVE UPDATE

Registry Chair Rogers asked Staff Attorney Jennifer Black Hans whether she would continue to provide legislative updates in the form of a “Bill Summary”, as she had during previous legislative sessions. Ms. Hans reported that she had planned to do so. The Chair expressed his appreciation for her timely and thorough legislative updates.

FUTURE MEETING DATES

It was agreed that staff would contact members to schedule the next meeting.

ADJOURNMENT

There being no further business, Vice-Chair Jeffery Tipton moved to adjourn, seconded by Richard Beliles; the meeting was adjourned with unanimous approval.

SARAH M. JACKSON, EXECUTIVE DIRECTOR

APPROVED:

JOHN ROGERS, CHAIR

Date



KENTUCKY REGISTRY OF ELECTION FINANCE

**140 WALNUT STREET
FRANKFORT, KY 40601**

May 11, 2005

1:30 p.m.

AGENDA

Call to Order and Roll Call

Swearing in of Walter Patrick: Hon. William L. Graham, Judge, Franklin Circuit Court

- 1. Approval of October 27, 2004 Minutes**
- 2. Approval of December 21, 2004 Minutes**
- 3. Approval of Conciliation Agreements:** Rosemary F. Center, General Counsel
 - a.) Board Directed Conciliation Agreements**
 - b.) Late Filing of Campaign Finance Reports**
 - c.) Administrative Adjudication Approval**
- 4. Budget Report:** Rebecca Feland, Budget Analyst
- 5. Approval of ITC Audits: Without Findings**
 - a.) Kentucky State Republican Executive Committee**
 - b.) Kentucky State Democratic Executive Committee**
 - c.) Jefferson County Republican Executive Committee**
- 6. Approval of Statewide Audits: Without Findings**
 - a.) Campaign Fund of Timothy E. Feeley**
 - b.) Committee Funds of Friends of Trey Grayson**
 - c.) Campaign Fund of Joe B. Lanter**
 - d.) Campaign Fund of Barney Hornback**
- 7. KREF v. Leonard W. "Buck" Beasley Campaign Fund, Case No. 2004-228**

Alleges Violations of KRS 121.160(2), KRS 121.160(2)(c) and KRS 121.180(3)(a)
- 8. KREF v. Jack D. Wood Campaign Fund, Case No. 2004-229**

Alleges violation of KRS 121.220(1)(a)
- 9. Republican Party of Kentucky & Ellen Williams, Chairman v. Ben Chandler & the Kentucky Democratic Party, Case No. 2004-15**

Alleges violations of KRS 121.025, KRS 121.035 and KRS 121.150(22)

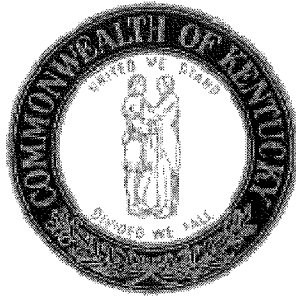
10. **The Republican Party of Kentucky and John T. McCarthy, III, Chairman v. Kentucky Democratic Party Senate Caucus; and Individually: Senators Walter Blevins, Jr., David E. Boswell, Robert L. “Bob” Jackson, Ray S. Jones, II, David Kareem, Daniel Mongiardo, Gerald A. Neal, R. J. Palmer, II, Joey Pendleton, Jerry P. Rhoads, Dorsey Ridley, Larry Saunders, Ernesto Scorsone, Tim Shaughnessy, Johnny Ray Turner, and Ed Worley, As members of the Caucus, Case No. 2004-209; and The Republican Party of Kentucky and John T. McCarthy, III, Chairman v. J. Dorsey Ridley, Case No. 2004-210**
Alleges violations of KRS 121.150(6), KRS 121.170 and KRS 121.180(6)
11. **Kevin Sell v. Kathryn Groob, Kathryn Groob for Senate Campaign Committee and Barry Kienzle, Treasurer, Case No. 2004-162**
Alleges violation of KRS 121.150(22)
12. **Barry G. Kienzle v. Jack Westwood and Steve Krumpelman, Case No. 2004-208**
Alleges violations of KRS 121.180(3)
13. **Sandy Jones v. Alan Baker & Thomas Baker, Case No. 2004-207**
Alleges violations of KRS 121.150(1), KRS 121.170 and KRS 121.190(1)
14. **Jack W. Walker v. Ronald Burrell, Case No. 2004-211**
Alleges violations of KRS 121.160(2), KRS 121.160(2)(c), KRS 121.180(3) and KRS 121.190(1)
15. **James R. Pursifull, Sr. v. Dewey Morgan, Case No. 2004-230**
Alleges violation of KRS 121.180(10) and KRS 121.190(1)
16. **Laura Trachtenberg v. Mike Skelton, John F. Eckerle, Sally L. Doyle, E. James Ising, Walter J. Lage and James P. Graven, Case Nos. 2004-225 and 2004-227, and; Janey D. Chilton v. Mike Skelton, John F. Eckerle, Sally L. Doyle, E. James Ising, Walter J. Lage and James P. Graven, Case No. 2004-226**
Alleges violation of KRS 121.190(1)
17. **Advisory Task Force Report: John Rogers, Chair, and Rhonda Farmer-Gray, Assistant Executive Director**
18. **2005 Legislative Wrap-Up: Sarah M. Jackson, Executive Director**
19. **Executive Director’s Report: Sarah M. Jackson**
20. **Litigation Update: Rosemary F. Center**

Personnel Recommendations

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

**May 25, 2005
4:30 p.m.**

AGENDA

Call to Order and Roll Call

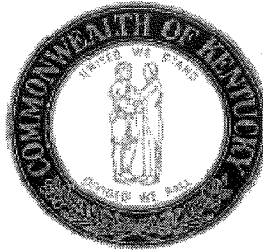
Forms Review

Personnel Recommendation(s)

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

**140 WALNUT STREET
FRANKFORT, KY 40601**

September 19, 2005

1:30 p.m.

AGENDA

Call to Order and Roll Call

Swearing in of Craig Dilger and Lea Pauley Goff: Hon. Roger L. Crittenden, Judge, Franklin Circuit Court

Election of Chair/Vice-Chair

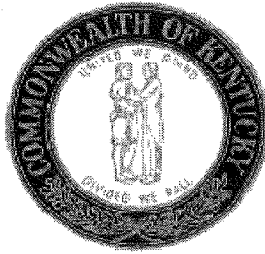
- 1. Approval of May 11, 2005 Minutes & Approval of May 25, 2005 Minutes**
- 2. Approval of Conciliation Agreements:** Connie L. Verrill, General Counsel
 - a. Board-Directed Conciliation Agreements**
 - b. Late Filing of Campaign Finance Reports**
- 3. Budget Report:** Rebecca Feland, Budget Analyst
- 4. Approval of ITC Audit: Without Findings**
Fayette County Democratic Executive Committee
- 5. Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Glenn D. Holbrook**
 - b. Campaign Fund of Roy Massey**
- 6. Joanne Cobble, Michael Little, Donna Yeager, Margaret Cook, Kent Kelso, and Jeff Hemsath v. Teresa Sanders: Case No. 2004-232**
Alleges violations of KRS 121.150, KRS 121.170, and KRS 121.190
- 7. Joanne Cobble, Michael Little, Donna Yeager, Margaret Cook, Kent Kelso, and Jeff Hemsath v. James Kudera: Case No. 2004-233**
Alleges violations of KRS 121.150, KRS 121.180(3) and (10), and KRS 121.190
- 8. Joanne Cobble, Michael Little, Donna Yeager, Margaret Cook and Jeff Hemsath v. George Sanders: Case No. 2004-234**
Alleges violation of KRS 121.190

- 9. Ralph Alvarado, M.D. v. Don Pasley, Physicians for the Positive Choice, Brian Andreas, M.D., Rebecca Bartee, M.D., Robert L. Davis, M.D., Robert T. Davis, M.D., William Davis, M.D., Larry Ertel, M.D., David Gagnon, M.D., Amina Shalash, M.D., and Edward Ziembroski, M.D.: Case No. 2004-231**
Alleges violation of KRS 121.150
- 10. Patricia C. Geveden v. Steven J. Rudy: Case No. 2004-263**
Alleges violation of KRS 121.190
- 11. 2006 Candidate Training:** Beverly Hutcherson, Branch Manager, Administration, Reporting and Compliance
- 12. Administrative Regulation Update:** Connie L. Verrill
- 13. Executive Director's Report:** Sarah M. Jackson, Executive Director
- 14. General Counsel's Update:** Connie L. Verrill
- 15. Advisory Task Force Report:** John Rogers, Registry Chair and Advisory Task Force Co-Chair, David S. Samford, Advisory Task Force Co-Chair, and Rhonda Farmer-Gray, Assistant Executive Director

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

February 13, 2006

1:00 p.m.

Call to Order and Roll Call

Swearing in of David Martin: Roger L. Crittenden, Judge, Franklin Circuit Court

- 1. Approval of September 19, 2005 Minutes**
- 2. Budget Report:** Rebecca Feland, Budget Analyst
- 3. Approval of Conciliation Agreements:** Connie L. Verrill, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
- 4. Approval of ITC Audits: Without Findings**
 - a. Fayette County Republican Executive Committee
 - b. Jefferson County Democratic Executive Committee
- 5. Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Gatewood Galbraith
 - b. Campaign Fund of Otis Hensley, Jr. and Richard Robbins
 - c. Campaign Fund of Hillebrandt for Governor
 - d. Campaign Fund of Philip C. Kimball
 - e. Lunsford for Governor Campaign Committee
 - f. Campaign Fund of Michael W. Gayhart
- 6. Case No. 2004-167:** Robert Moreland v. Kentucky-American Water Company, RWE Thames Water Company, Jeremy Pelczer, Nick Rowe, Roy Mundy, Karen Mundy, David Whitehouse, The Moriah Group, Walter Lundy, W. Rogers Company, Warren Rogers, Carol Rogers, Jamie Barr, Robert V. Hale, John S. Roberts, Bill Farmer, Kevin O. Stinnett, Bill Cegelka, George G. Myers, Jay McChord, Ed Lane, Michael Scanlon, Margaret Scanlon, Orville Beckley, Thomas Root, Amy Bohon, C. Bohon, J. Campion, Nancy Reynolds, Ronald Reynolds, Douglas Wilson, Cathy Wilson, Gov. Ernie Fletcher, and Thomas & King, Inc.

Alleges violations of KRS 121.025, KRS 121.035 and KRS 121.150(21)

REGISTRY BOARD MEETING AGENDA

February 13, 2006

Page 2 of 3

7. **Case No. 2005-105:** David Allen Kays v. Robert Fryer
Alleges violation of KRS 121.180(1)(b)
8.
 - a. **Case No. 2005-32:** Joseph Lamont Silverburg v. Corrections Corporation of America
Alleges violations of KRS 121.025 and KRS 121.150(6)
 - b. **Case No. 2005-33:** Joseph Lamont Silverburg v. Corrections Corporation of America, and Ernie Fletcher and Stephen B. Pence Slate
Alleges violations of KRS 121.025, KRS 121.150(6) and KRS 121.170(5)(b)
 - c. **Case No. 2005-34:** Joseph Lamont Silverburg v. Corrections Corporation of America
Alleges violations of KRS 121.025 and KRS 121.035
 - d. **Case No. 2005-35:** Joseph Lamont Silverburg v. Corrections Corporation of America, PAC
Alleges violations of KRS 121.025, KRS 121.150(6), KRS 121.160(2)(b), KRS 121.170(3), (5)(b) – (c) and KRS 121.180
 - e. **Case No. 2005-36:** Joseph Lamont Silverburg v. Corrections Corporation of America, Chandler for Governor Committee, A. B. (Ben) Chandler, III and Charlie Owen
Alleges violations of KRS 121.025, KRS 121.035, KRS 121.150(6), KRS 121A.010(12) and KRS 121.170(5)(b)
9. **Case No. 2006-1:** KREF v. Jimmy “Gabe” Turner and D. J. Turner, as Treasurer
Alleges violations of KRS 121.220(1) and (2)
10. **Case No. 2006-2:** KREF v. Jackson for Governor, David C. Dick, as Treasurer
Alleges violation of KRS 121A.050(1)
11. **Case No. 2006-3:** KREF v. Stephen Nunn and Bob Heleringer Slate
Alleges violation of KRS 121A.050(1)
12. **Case No. 2006-4:** KREF v. Campaign Fund of Chris Gorman for Attorney General, William G. Johnson, as Treasurer
Alleges violation of KRS 121.150(6)
13. **Case No. 2006-5:** KREF v. Campaign Fund of Richie Farmer, Richard D. Farmer, as Treasurer, and Leedhanachoke & Cassady, PSC
Alleges violations of KRS 121.025 and KRS 121.150(21)

REGISTRY BOARD MEETING AGENDA

February 13, 2006

Page 3 of 3

- 14. 2006 Election Finance Training Seminars:** Beverly Hutcherson, Branch Manager,
Administration: Reporting & Compliance
- 15. Information Technology Update:** Stephen Smith, Information Systems Manager
- 16. Legislative Update:** Sarah M. Jackson, Executive Director
- 17. Executive Director's Report:** Sarah M. Jackson
- 18. General Counsel's Update:** Connie Verrill

New Business

Future Meeting Date(s)

Adjournment

**Regular Meeting of the Registry
in the offices of the Registry
140 Walnut Street, Frankfort, Kentucky
February 13, 2006**

Present

John Rogers, Chair
Craig C. Dilger, Vice-Chair
Jeffery R. Tipton, Member
Walter Patrick, Member
Ken Harper, Member (by Speakerphone)
Lea Pauley Goff, Member
David R. Martin, Member
Sarah M. Jackson, Executive Director
Rhonda Farmer-Gray, Assistant Executive Director
Connie L. Verrill, General Counsel
Peggy Devine, Staff
Bonnie F. Harris, Staff

Others Present

Judge Roger Crittenden
Rebecca Feland
Janice Tomes
Bill May
Eric Lycan
Eileen O'Brien
Kevin G. Henry

OPENING

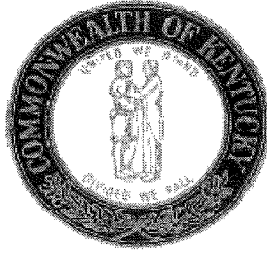
Pursuant to notice, the meeting was called to order by John Rogers, Chair, at 1:00 p.m. in the offices of the Registry.

SWEARING IN OF DAVID R. MARTIN BY JUDGE CRITTENDEN

After a welcome by Registry Chair John Rogers, Judge Roger Crittenden, Franklin Circuit Court, administered the Oath of Office to the Registry's newest Member, David R. Martin.

INTRODUCTION OF KEN HARPER

Ken Harper, who was earlier sworn in as a new Registry Member in Northern Kentucky, was introduced via speakerphone to Registry Members by Chair John Rogers, who then explained that Mr. Harper was included by speakerphone as he was out of state on this day. It was further explained by the Chair that Mr. Harper would not be able to vote or participate in discussions, due to Kentucky's open meetings laws, and the Chair welcomed Mr. Harper's enthusiasm and diligence in expressing an interest in being available by speakerphone.



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

July 11, 2006

1:00 p.m.

Call to Order and Roll Call

- 1. Approval of February 13, 2006 Minutes**
- 2. Budget Report:** Rebecca Feland, Budget Analyst
- 3. Approval of Conciliation Agreements:** Connie L. Verrill, General Counsel
 - a.** Board-Directed Conciliation Agreements
 - b.** Late Filing of Campaign Finance Reports
- 4. Approval of Statewide Audits: Without Findings:** Ann Hester, Director, Division of Administration & Audit
 - a.** Campaign Fund of Linda Greenwell for Auditor of Public Accounts: General Election, 2003
 - b.** Campaign Fund of Virgil Moore & Don Bell (Governor/Lt. Governor): Primary Election, 2003
 - c.** Friends of Jonathan Miller (Treasurer): Primary Election, 2003
 - d.** Friends of Jonathan Miller (Treasurer): General Election, 2003
- 5. Case No. 2004-208:** Barry G. Kienzle v. Jack Westwood
Alleges violation of KRS 121.180(3)
- 6. Case No. 2006-64:** Benjamin Durall v. Gaylan Spurlin
Alleges violation of KRS 121.190(1)
- 7. Case No. 2006-65:** Marlene Raquelle Newton Ward v. Darwin Dennison
Alleges violations of KRS 121.025 and KRS 121.035
- 8. Case No. 2006-67:** KREF v. Campaign Fund of Richie Farmer for Commissioner of Agriculture, Virginia Farmer, as Treasurer, and Razavi Financial Services, Inc.
Alleges violations of KRS 121.025 and KRS 121.150(21)

REGISTRY BOARD MEETING AGENDA

July 11, 2006

Page 2 of 2

9. **Case No. 2006-68:** KREF v. Campaign Fund of Linda Greenwell, Bill Karrer as Treasurer
Alleges violations of KRS 121.160(2)(c) and KRS 121.220(1)
 10. **Case No. 2006-70:** KREF v. Chandler for Governor Committee, George Helton, as Treasurer, and Equus Restaurant, Inc.
Alleges violations of KRS 121.025, KRS 121.150(21), and KRS 121A.050(1)
 11. **Case No. 2006-79 & 2006-80:** Jackie Markwell and Tammy Rasche v. Roger Thomas and Tim Garvin
Alleges violations of KRS 121.190(1)
 12. **Case No. 2006-177:** KREF v. Campaign Fund of Gregory D. Stumbo for Attorney General, G. Stephen Pack as Treasurer, Dent Systems Plus, Inc., James C. Leadingham, O.D., P.S.C., Kellie D. Wilson, Attorney at Law, Inc., Blackburn Contracting, Inc., Pergrem Properties, Inc., and Juanita's Place, Inc.
Alleges violations of KRS 121.025, KRS 121.150(6), and KRS 121.150(21)
 13. **Case No. 2006-179:** KREF v. Campaign Fund of Hatchett for Attorney General, Gary Stewart, as Treasurer
Alleges violation of KRS 121.150(6)
 14. **Case No. 2006-295:** KREF v. Campaign Fund of Kentucky Families for Richards and Miller, Norman Harned, as Treasurer, Hardinsburg Chiropractic, P.S.C. and James C. Leadingham, O.D., P.S.C.
Alleges violations of KRS 121.025, KRS 121.150(21), and KRS 121.150(12)
 15. **2006 Election Finance Training Seminars - Wrap-up:** Beverly Hutcherson, Branch Manager, Administration: Reporting & Compliance
 16. **Information Technology Update:** Stephen Smith, Information Systems Manager
 17. **Legislative Update:** Sarah M. Jackson, Executive Director
 18. **Executive Director's Report:** Sarah M. Jackson
 19. **General Counsel's Update:** Connie Verrill
- New Business**
- Future Meeting Date(s)**
- Adjournment**



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

**September 26, 2006
1:00 p.m.**

Call to Order and Roll Call

Election of Chair/Vice-Chair

- 1. Approval of July 11, 2006 Minutes**
- 2. Budget Report:** Sarah M. Jackson, Executive Director
- 3. Approval of Conciliation Agreements:** Connie L. Verrill, General Counsel
 - a.** Board-Directed Conciliation Agreements
 - b.** Late Filing of Campaign Finance Reports
- 4. Approval of ITC Audit: Without Findings:** Ann Hester, Director,
Division of Administration & Audit: Kenton County Republican Executive Committee
- 5. Approval of Statewide Audits: Without Findings:** Ann Hester
 - a.** Campaign Fund of Russ Maple for Secretary of State – 2003 Primary
 - b.** Campaign Fund of Russ Maple for Secretary of State – 2003 General
 - c.** Campaign Fund of Adam Koenig for the Office of Kentucky State Treasurer – 2003 Primary
 - d.** Campaign Fund of Adam Koenig for the Office of Kentucky State Treasurer – 2003 General
- 6. Case No. 2006-176:** Daryl Lee Martin v. Kent Kelso
Alleges violations of KRS 121.025 and KRS 121.035.
- 7. Case No. 2006-180:** Joseph B. Murphy v. Bill Cegelka and Marshall Hixson
Alleges violation of KRS 121.150.

REGISTRY BOARD MEETING AGENDA

September 26, 2006

Page 2 of 2

8. **Case No. 2006-181:** Charles Samuel Tucker v. James A. Sexton
Alleges violation of KRS 121.190(1).
9. **Case No. 2006-182:** Richard V. Beliles and Common Cause of Kentucky v. Ernie Fletcher and Ronald Switzer, as Treasurer
Alleges violations of KRS 121.175 and KRS 121.180(3).
10. **Case No. 2006-183:** Mark L. Matthews v. Betty Ransdell Hatton
Alleges violation of KRS 121.190(1).
11. **Case No. 2006-296:** Farris Wayne Potts v. Arthur Byrn
Alleges violations of KRS 121.180(3).
12. **Case No. 2006-297:** KREF v. The Friends of Ernie Fletcher Committee, John Whittle, as Treasurer for Primary Election, Ronald Switzer, as Treasurer for General Election, Centerfire Systems, Inc., Marshall Emergency Services Associates, P.S.C., John C. Powell, M.D., P.S.C., and Phillip P. VanMeter, DMD, PSC
Alleges violations of KRS 121.025, KRS 121.150(21), KRS 121A.050(1), KRS 121.150(25), and KRS 121.150(5).
13. **Legislative Update:** Sarah M. Jackson
14. **Executive Director's Report:** Sarah M. Jackson
15. **General Counsel's Update:** Connie L. Verrill

New Business

Future Meeting Date(s)

Adjournment

**Regular Meeting of the Registry
in the offices of the Registry
140 Walnut Street, Frankfort, Kentucky
September 26, 2006**

Present

John Rogers, Chair
Craig C. Dilger, Vice-Chair
Walter Patrick, Member
Ken Harper, Member
Lea Pauley Goff, Member
David R. Martin, Member
Leigh A. Jones, Member
Sarah M. Jackson, Executive Director
Rhonda Farmer-Gray, Assistant Executive Director
Connie L. Verrill, General Counsel
Peggy Devine, Staff
Bonnie F. Harris, Staff

Others Present

Judge Tom Wingate
Sue Bradshaw
Linda Potter
Daniel Groves
Lori B. Sullivan
Jennifer Hans
Richard Beliles
Jim Thomerson

OPENING

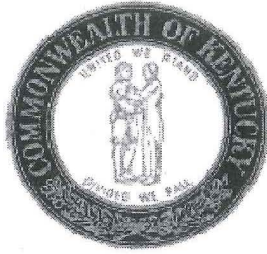
Pursuant to notice, the meeting was called to order by John Rogers, Registry Chair, at 1:00 p.m. in the offices of the Registry.

INTRODUCTION AND SWEARING IN OF LEIGH A. JONES

After a welcome by Registry Chair John Rogers and introduction by Jennifer Hans, Assistant Attorney General, Honorable Tom Wingate, Judge, Franklin Circuit Court, administered the Oath of Office to the Registry's newest Member, Leigh A. Jones.

ELECTION OF CHAIR/VICE-CHAIR

Registry Chair John Rogers announced that the once-a-year election of Chair and Vice-Chair should be held, as current terms would expire before the next Registry meeting. Sarah M. Jackson, Executive Director, was asked to assume the Chair for purposes of conducting the election. She opened the floor for nominations, and Ken Harper nominated John Rogers to serve again as Chair; seconded by David Martin, the motion carried unanimously. David Martin nominated Craig Dilger to serve again as Vice-Chair, seconded by Ken Harper, the motion carried unanimously. The motions provided that terms were to begin effective October 27, 2006.



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

March 1, 2007

2:00 p.m.

Call to Order and Roll Call

1. **Adoption of Resolution Honoring Former Representative Adrian K. Arnold**
2. **Approval of September 26, 2006 Minutes**
3. **Budget Report:** Rebecca Feland, Fiscal Officer
4. **Approval of Conciliation Agreements:** Connie L. Verrill, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
5. **Approval of Statewide Audit: Without Findings:** Ann Hester, Director,
Division of Administration & Audit
Campaign Fund of Landham/Thoney (Governor/Lt. Governor):
Primary Election, 2003
6. **Case No. 2006-184:** Cynthia Barnes v. Vicki Wilson
Alleges violations of KRS 121.170(1) and KRS 121.190(1).
7. **Case No. 2006-589:** David Land v. Kay Sparks Hall
Alleges violations of KRS 121.220(1)(a), KRS 121.160, KRS 121.160(2)(c),
KRS 121.180 and 32 KAR 2:100.
8. **Case No. 2006-684:** Vernon Anderson v. Larry Elkins
Alleges violation of KRS 121.190(1).
9. **Case No. 2006-686:** Harold Ray Kearney v. Steve Eden
Alleges violation of KRS 121.190(1).

REGISTRY BOARD MEETING AGENDA

March 1, 2007

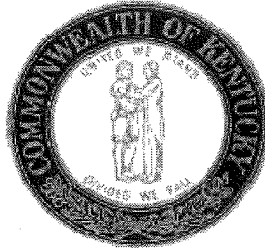
Page 2 of 2

10. **Case No. 2006-790:** Joanne Cobble and Margaret Cook v. Tom Brinker
Alleges violation of KRS 121.190(1).
11. **Case No. 2006-791:** Joanne Cobble and Margaret Cook v. Mary Pat Behler
Alleges violation of KRS 121.190(1).
12. **Case No. 2006-800:** Rick Evans v. Rick Nelson
Alleges violation of KRS 121.190(1).
13. **Administration and Audit Update:** Ann Hester
14. **Information Technology Update:** Stephen Smith, Information Systems Branch
Manager
15. **Legislative Update:** Sarah M. Jackson, Executive Director
16. **Executive Director's Report:** Sarah M. Jackson
17. **General Counsel's Update:** Connie L. Verrill

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

July 30, 2007

1:00 p.m.

Call to Order and Roll Call

1. **Approval of March 1, 2007 Minutes**
2. **Budget Report:** Rebecca Feland, Fiscal Officer
3. **Introduction:** Carla Tabeling, Policy & Budget Analyst, Governor's Office for Policy and Management
4. **Approval of Statewide Audits: Without Findings:** Ann Hester, Director, Division of Administration & Audit
 - a. Campaign Fund of Landham/Thoney (Governor/Lt. Governor): General Election, 2003
 - b. Campaign Fund of Matt Wyatt for State Treasurer: Primary Election, 2007
5. **Approval of Conciliation Agreements:** Connie V. Murphy, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
6. **Case No. 2006-792:** Terence M. Flynn v. Dave Otto
Alleges violations of KRS 121.190(1)
7. **Case No. 2006-881:** Committee to Elect Dave Otto and Dave Otto v. Peter Garrett and Garrett for County Commissioner
Alleges violation of KRS 121.190(1)
8. **Division of Administration and Audit: Update on Field Audit Team Initiative:** Ann Hester, Director, Division of Administration & Audit

REGISTRY BOARD MEETING AGENDA

July 30, 2007

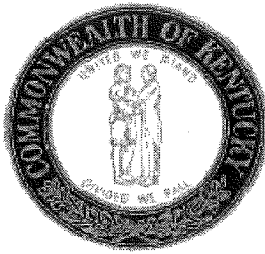
Page 2 of 2

9. **E-Filer Program Update:** Stephen Smith, Branch Manager, Information Systems Technology
10. **Navigating KREF's New Website:** Rhonda Farmer-Gray, Assistant Executive Director
11. **General Counsel's Update:** Connie V. Murphy, General Counsel
12. **Executive Director's Report:** Sarah M. Jackson, Executive Director

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

August 27, 2007
6:00 p.m.

Call to Order and Roll Call

Review and Redistribution of Job Duties Following Staff Retirements Effective 8/1/07

New Business

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

December 5, 2007
1:00 p.m.

Call to Order and Roll Call

Election of Chair

1. **Approval of July 30, 2007 Minutes**
2. **Approval of August 27, 2007 Minutes**
3. **Budget Report:** Sarah M. Jackson, Executive Director
4. **Approval of ITC Audits: Without Findings:** Rhonda Farmer-Gray, Assistant Executive Director
 - a. Boone County Democratic Executive Committee
 - b. Boone County Republican Executive Committee
5. **Approval of Statewide Audit: Without Findings:** Rhonda Farmer-Gray
 - Campaign Fund of Jon Larson for the Office of Attorney General: Primary Election, 2007
6. **Approval of Conciliation Agreements:** Connie V. Murphy, General Counsel
 - Late Filing of Campaign Finance Reports
7. **Case No. 2006-799:** Edward Robert Sanders v. Warner Allen
Alleges violations of KRS 121.025, KRS 121.035, KRS 121.160 and KRS 121.180
8. **Case No. 2006-879:** Justin King Denton v. Citizens for Responsible Government
Alleges violations of KRS 121.170 and KRS 121.180
9. **Case No. 2006-880:** John E. McChord v. Citizens for Responsible Government
Alleges violations of KRS 121.170 and KRS 121.180

REGISTRY BOARD MEETING AGENDA

December 5, 2007

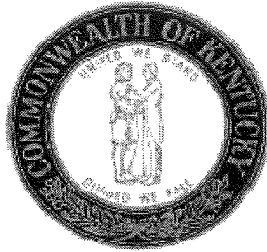
Page 2 of 2

- 10. Case No. 2007-80:** Harry L. Berry v. William R. "Rik" Hawkins
Alleges violations of KRS 121.025, KRS 121.035, KRS 121.150, KRS 121.160
and KRS 121.180
- 11. General Counsel's Update:** Connie V. Murphy
- 12. Legislative Update:** Sarah M. Jackson
- 13. Executive Director's Report:** Sarah M. Jackson

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

April 14, 2008

4:00 p.m.

Call to Order and Roll Call

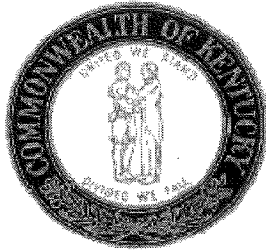
Swearing in of Kristen Webb Hill

- 1. Approval of December 5, 2007 Minutes**
- 2. Budget Report:** Rebecca Feland, Fiscal Officer
- 3. Approval of Conciliation Agreements:** Sarah M. Jackson, Executive Director
 - a. Late Filing of Campaign Finance Reports**
- 4. Approval of ITC Audit: Without Findings:** Rhonda Farmer-Gray, Assistant Executive Director
 - a. Jefferson County Democratic Executive Committee**
- 5. Approval of Statewide Audits: Without Findings:** Rhonda Farmer-Gray
 - a. Campaign Fund of Billy Harper For Governor Slate Committee: Primary Election 2007**
 - b. Campaign Fund of John Michael Weaver for State Treasurer: Primary Election 2007**
 - c. Campaign Fund of Timothy R. Coleman for the Office of Attorney General: Primary Election 2007**
- 6. Election Finance Training Seminars: Wrap-Up:** Sarah M. Jackson
- 7. Council on Governmental Ethics Laws ("COGEL") Annual Conference:** December 7-10, 2008: Craig C. Dilger, Chairman
- 8. Legislation 2008 Update:** Sarah M. Jackson
- 9. Executive Director's Report:** Sarah M. Jackson
- 10. Personnel Committee Report:** Craig C. Dilger, David Martin and Walter Patrick

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

August 8, 2008

10:00 a.m.

Call to Order and Roll Call

Swearing in of Rosemary F. Center: Hon. Phillip J. Shepherd, Judge, Franklin Circuit Court

Introduction of Emily Dennis, General Counsel: Craig C. Dilger, Chairman

1. **Approval of April 14, 2008 Minutes**
2. **Executive Order 2008-454: Executive Branch Ethics:** Dana Nickles, General Counsel, Executive Branch Ethics Commission
3. **Budget Report:** Rebecca Feland, Fiscal Officer
4. **Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
5. **Approval of ITC Audits: Without Findings:** Rhonda Farmer, Assistant Executive Director
 - a. Kentucky State Republican Executive Committee
 - b. Kentucky State Democratic Executive Committee
 - c. Kenton County Republican Executive Committee
6. **Approval of Statewide Audits: Without Findings:** Craig C. Dilger
 - a. Campaign Fund of Melinda L. Wheeler for State Treasurer: Primary Election, 2007
 - b. Campaign Fund of Melinda L. Wheeler for State Treasurer: General Election, 2007
 - c. Campaign Fund of Patrick R. Dunmire for the Office of State Treasurer: Primary Election, 2007
 - d. Campaign Fund of David Neville for the Office of Commissioner of Agriculture: Primary Election, 2007
 - e. Campaign Fund of Lonnie Napier for State Treasurer: Primary Election, 2007
 - f. Campaign Fund of Don Stosberg for the Office of Commissioner of Agriculture: Primary Election, 2007

REGISTRY BOARD MEETING AGENDA

August 8, 2008

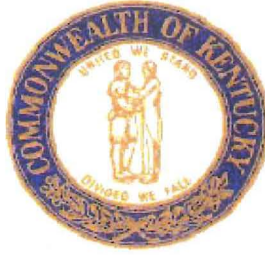
Page 2 of 2

7. **Case No. 2006-683:** Robert “Bobby” Baker v. Charles Anthony Williams
Alleges violations of KRS 121.045; KRS 121.190; KRS 121.310; KRS 121.320; 32 KAR 2:110 and KRS 120.015
8. **Case No. 2006-799:** Edward Robert Sanders v. Warner Allen
Alleges violations of KRS 121.025; KRS 121.035; KRS 121.160 and KRS 121.180
9. **Case No. 2007-126:** Kentucky Democratic Party v. Republican Governors Association and Friends of Governor Fletcher
Alleges violations of KRS 121.150(1); KRS 121.150(6); KRS 121.150(10); KRS 121.150(21) and KRS 121.170(1)
10. **Case No. 2008-252:** Republican Party of Kentucky v. Bluegrass Freedom Fund and Beshear/Mongiardo Campaign
Alleges violations of KRS 121.150(1) and KRS 121.150(6)
11. **Case No. 2008-348:** Kentucky Registry of Election Finance v. Friends of Governor Fletcher Committee, Ronald Switzer, as Treasurer for Primary Election, Southeastern Book Company, Jim A. Sims, Kindred Healthcare Inc. PAC, Kindred Healthcare Inc. Kentucky PAC, and APPRISS PAC
Alleges violations of KRS 121.025; KRS 121.150(6); KRS 121.150(7) and KRS 121.150(21)
12. **Case No. 2008-360:** Kentucky Registry of Election Finance v. Madonna J. White
Alleges violations of KRS 121.180(3)(a)(2) and KRS 121.180(3)(a)(5)
13. **Information Technology Update:** Rhonda Farmer
14. **Executive Director’s Report:** Sarah M. Jackson
15. **General Counsel’s Update:** Emily Dennis

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

December 17, 2008
9:30 a.m.

Call to Order and Roll Call

Swearing in of Craig C. Dilger and Terry Naydan: Hon. Chris Olds, Judge, Franklin District Court

Election of Chair

1. **Approval of August 8, 2008 Minutes**
2. **Budget Report:** Rebecca Feland, Fiscal Officer
3. **Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
4. **Approval of ITC Audits: Without Findings**
 - a. Fayette County Republican Executive Committee
 - b. Fayette County Democratic Executive Committee
 - c. Kenton County Democratic Executive Committee
5. **Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Gatewood Galbraith/Mark Wireman Slate, 2007 Primary Election
 - b. Campaign Fund of Bruce Hendrickson for Secretary of State, 2007 Primary Election
 - c. Campaign Fund of Bruce Hendrickson for Secretary of State, 2007 General Election
 - d. Campaign Fund of Robert V. Bullock for Attorney General, 2007 Primary Election
 - e. Campaign Fund of Philip C. Kimball for Attorney General, 2007 Primary Election
6. **Case No. 2006-695:** David A. Barber v. Janet L. Stumbo and Ned Pillersdorf
Alleges violations of KRS 121.150(6), KRS 121.180(3)(a), KRS 121.150(12) and KRS 121.160(2)

REGISTRY BOARD MEETING AGENDA

December 17, 2008

Page 2 of 2

7. **Case No. 2006-1112:** Mark W. Lowry and Delores T. Lowry v. Kathy H. Witt, Wayne B. Smith, and Southland Christian Church
Alleges violations of KRS 121.150(6), KRS 121.310(1), KRS 121.320(2), KRS 121.150(21), KRS 121.025, and KRS 121.180(3)(a)3
8. **Case No. 2007-135:** C. Conrad Everett v. Craig Buthod, Mary Griffith, Louisville Free Public Library Foundation, Inc. and Libraries Yes!, PIC
Alleges violations of KRS 121.190(1), 32 KAR 2:110, 121.180(3)(a)5, KRS 121.015(6), and KRS 121.310(1)
9. **Case No. 2007-195:** Republican Party of Kentucky v. Scott Alexander
Alleges violation of KRS 121.015(8)
10. **Case No. 2008-469:** Kentucky Registry of Election Finance v. Campaign Fund of David Lynn Williams for Commissioner of Agriculture, Adam Smith as Treasurer
Alleges violations of KRS 121.220(1) and KRS 121.160(2)
11. **Case No. 2008-471:** Kentucky Registry of Election Finance v. Committee to Elect Dana Todd, Kimberly K. Wooldridge, Treasurer, and Dana Todd, Candidate for District Judge, District 48, Division 2
Alleges violations of KRS 121.180(1)(k)
12. **KREF Legislation 2009:** Sarah M. Jackson, Executive Director
13. **Information Technology Update**
14. **Executive Director's Report:** Sarah M. Jackson
15. **Fines Committee Report:** Emily Dennis
16. **General Counsel's Update:** Emily Dennis

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

June 3, 2009

1:00 p.m.

Call to Order and Roll Call

1. **Approval of December 17, 2008 Minutes**
2. **Budget Report:** Rebecca Feland, Fiscal Officer
3. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
 - c. Administratively Closed Cases
 - d. Cases to be Held in Abeyance
4. **Approval of ITC Audit: Without Findings**
 - a. Jefferson County Republican Executive Committee
5. **Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Linda Greenwell for Auditor of Public Accounts, 2007 Primary
 - b. Campaign Fund of Linda Greenwell for Auditor of Public Accounts, 2007 General
 - c. Campaign Fund of Otis Hensley, Jr./Richard Robbins Slate, 2007 Primary
 - d. Campaign Fund of Crit Luallen for Auditor of Public Accounts, 2007 Primary
 - e. Campaign Fund of Crit Luallen for Auditor of Public Accounts, 2007 General
 - f. Campaign Fund of Dick Robinson for Secretary of State, 2007 Primary
 - g. Campaign Fund of Jack D. Wood for State Treasurer, 2007 Primary
6. **Case No. 2006-879:** Justin King Denton v. Citizens for Responsible Government and
Case No. 2006-880: John E. McChord v. Citizens for Responsible Government
Motion to Assign for Judicial Hearing pursuant to KRS 121.140 and 32 KAR 2:210

REGISTRY BOARD MEETING AGENDA

June 3, 2009

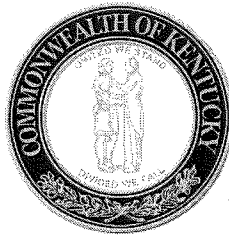
Page 2 of 2

7. **Case No. 2009-110:** KREF v. Henry-True for Kentucky; Stephen L. Henry, Candidate; Renee True, Candidate; Gregory Schell, former Treasurer; RFM Development Company; Modern Distributors, Inc.; Twin Creeks Farm, Inc.; Media Library, Inc.; Jaro of Kentucky, Inc., formerly Twin Oaks Golf & Plantation Club; Otto Printing & Entertainment Graphics, Inc.; American Clearinghouse, Inc.; Cooper-Secrest Associates, Inc.; and Windstream Communications, Inc.
Alleges violations of KRS 121.025, KRS 121.150(20), 32 KAR 2:180, KRS 121.015(6), KRS 121.150(6), KRS 121.015(8), KRS 121.015(9), KRS 121.150(4), KRS 121.160(2), KRS 121.220, KRS 121.150(12), KRS 121.180(3)(a), and KRS 121.180(3)(a)(2)
8. **Case No. 2009-108:** KREF v. Northup-Hoover 2007, Harry L. Berry, Treasurer; Beard Insurance and Financial Services, Inc., Lisa Beard, Agent; Katherine King DMD PSC, Katherine King, Agent; American Society of Interventional Pain Physicians PAC; and Kentucky Society of Interventional Pain Physicians, Inc., PAC
Alleges violations of KRS 121.025, KRS 121.150(20), KRS 121.150(6), KRS 121.180(3)(a), KRS 121.180(3)(a)(2), and KRS 121.150(12)
9. **Case No. 2007-127:** Philip R. Simpson v. Jerry Fannin
Alleges violations of KRS 121.180(3), KRS 121.160(2)(c), KRS 121.220, KY Const. Part 2, § 150, KRS 121.025, and KRS 121.150(20)
10. **Case No. 2008-472:** James Bushong v. William Cobble, Marcus Cook, Margaret Cook and Donald Randall, Sr.
Alleges violations of KRS 121.180(10) and KRS 121.220(1)
11. **Case No. 2009-033:** Minnie Spangler v. William J. Swope
Alleges violations of KRS 121.180(8)
12. **Legislative Update 2009:** Craig C. Dilger, Chairman
13. **Information Technology Update:** Rhonda Farmer, Assistant Executive Director
14. **Fines Committee Report:** Emily Dennis
15. **General Counsel's Update:** Emily Dennis
16. **Executive Director's Report:** Sarah M. Jackson, Executive Director

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

**September 25, 2009
9:00 a.m.**

Call to Order and Roll Call

Swearing in of David R. Martin and Ken Harper: Hon. Tom Wingate, Judge, Franklin Circuit Court

Election of Chair

- 1. Approval of June 3, 2009 Minutes**
- 2. Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a.** Board-Directed Conciliation Agreements
 - b.** Late Filing of Campaign Finance Reports
 - c.** Cases Recommended for Administrative Closure
 - d.** Cases Recommended for Abeyance
- 3. Approval of Statewide Audits: Without Findings:**
 - a.** Campaign Fund of the Lunsford-Stumbo Slate for Governor/Lt. Governor, 2007 Primary
- 4. Case No. 2006-879:** Justin King Denton v. Citizens for Responsible Government and
Case No. 2006-880: John E. McChord v. Citizens for Responsible Government
Motion to Set Aside Order/ Refer to Attorney General pursuant to KRS 121.120(1)(h), KRS 121.120(4)(n), KRS 121.140(5), and KRS 121.990(3).
- 5. Case No. 2008-408:** James R. Brunson, Jr. and David A. Wilson v. Garrard Development Group and J. Roy Watterson, Registered Agent for Garrard Development, Inc.
Alleges violations of KRS 121.170(1), KRS 121.180(3)(a)3, KRS 121.180(3)(a)5, KRS 121.150(4), and KRS 121.190(1).

REGISTRY BOARD MEETING AGENDA

September 25, 2009

Page 2 of 2

6. **Case No. 2009-66:** Edward Springston v. Kathryn R. King, James O. King, Jr. and King Southern Bank, Inc.
Alleges violations of Kentucky Constitution, Part 2, §150, KRS 121.025, KRS 121.035, KRS 121.150(20), KRS 121.150(6), KRS 121.150(12) and KRS 121.180(3)(a).
7. **Case No. 2009-111:** Clay S. Foreman v. Bill Dieruf
Alleges violations of KRS 121.190(1) and KRS 121.180(3)(a).
8. **Case No. 2009-112:** Kentucky Registry of Election Finance v. Kentucky Families for Richards and Brown, Norman Harned, Treasurer; and J. Ross, PSC, J. Ross, M.D., Agent
Alleges violations of KRS 121.025, KRS 121.150(20), and KRS 121.150(4).
9. **Case No. 2009-130:** Kentucky Registry of Election Finance v. Friends of Trey Grayson, C.M. "Trey" Grayson, Candidate, Steven J. Smith, Treasurer; Darren L. Embry, PSC, Darren L. Embry, Registered Agent; and Associated General Contractors PAC, Tim Parsons, Treasurer
Alleges violations of KRS 121.025, KRS 121.150(20), KRS 121.150(6), KRS 121.175(1), 32 KAR 2:200 § 2(1), and KRS 121.180(3)(a)(2).
10. **Case No. 2009-131:** Kentucky Registry of Election Finance v. Campaign Fund of Ken Upchurch, Ken Upchurch, Candidate/Treasurer
Alleges violations of KRS 121.160(2)(b)2, KRS 121.160(2)(d), and KRS 121.150(3)
11. **Budget Report:** Rebecca Feland, Fiscal Officer
12. **General Counsel's Update:** Emily Dennis
13. **Executive Director's Report:** Sarah M. Jackson, Executive Director
14. **New Business**

**Regular Meeting of the Kentucky Registry of Election Finance
in the offices of the Registry
140 Walnut Street, Frankfort, Kentucky
September 25, 2009**

Present

Craig C. Dilger, Chairman
David R. Martin, Vice-Chairman
Rosemary F. Center, Member
Ken Harper, Member
Leigh A. Jones, Member
Terry Naydan, Member
Sarah M. Jackson, Executive Director
Emily Dennis, General Counsel
Bonnie F. Harris, Staff
Ellen Gotshall, Staff
David Simpson, Staff
Jan Hines, Staff
Rebecca Feland, Staff

Others Present

Amy Cubbage
John Rogers
Sheryl Snyder
Laura M. Bennett
John E. McChord
Amanda Bledsoe
Hallie Brunson
James R. Brunson, Jr.
Edward Springston
John Springston
James O. King, Jr.
Joe Gerth
Elizabeth Donatelli
Jeff Knight
Andy Alcock
Max Thackeray

OPENING

Pursuant to notice, the meeting was called to order by Craig C. Dilger, Registry Chairman, at 9:00 a.m. in the offices of the Registry. All Board Members were present.

SWEARING IN OF DAVID MARTIN AND KEN HARPER

Honorable Tom Wingate, Judge, Franklin Circuit Court, administered the Oath of Office to re-appointed Board Members David Martin and Ken Harper.

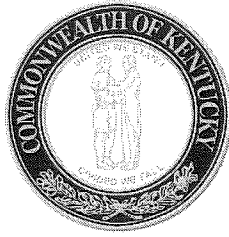
APPROVAL OF JUNE 3, 2009 MINUTES

Ken Harper moved to approve the Minutes of the June 3, 2009 Registry meeting; seconded by David Martin, the motion carried unanimously.

APPROVAL OF CASES/CONCILIATION AGREEMENTS

A. BOARD-DIRECTED CONCILIATION AGREEMENTS

Leigh Jones moved to approve the Board-directed Conciliation Agreements; seconded by Ken Harper, the motion carried unanimously.



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

March 25, 2010
10:00 a.m.

Call to Order and Roll Call

- 1. Approval of September 25, 2009 Minutes**
- 2. Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
 - c. Cases Recommended for Administrative Closure
 - d. Cases Recommended for Abeyance
- 3. Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Brandon Smith for Office of State Treasurer, 2007 Primary
 - b. Campaign Fund of Jack Conway for Office of Attorney General, 2007 Primary
- 4. Case No. 2007-81:** Leslie Holland v. Stephen Henry, Slate of Stephen Henry and Renee True; and **Case No. 2007-90:** Richard V. Beliles v. Stephen L. Henry
Motion to Dismiss as moot due to intervening criminal prosecution
Alleges violations of KRS 121.025, KRS 121.035, KRS 121.150(1), KRS 121.150(21), and KRS 121.150(25).
- 5. Case No. 2008-406:** In re: Dr. Ronald M. Holmes, Candidate, Committee to Re-Elect Dr. Holmes, Tom Renders, Treasurer, and Mark Handy, former Treasurer
Alleges violations of KRS 121.150(23), KRS 121.175(1), KRS 121.180(3)(a), KRS 121.220(2), KRS 121.320(3), see also 32 KAR 2:200.
- 6. Case No. 2008-408:** James R. "Dick" Brunson Jr. & David A. Wilson v. Garrard Development Group and J. Roy Watterson, Registered Agent for Garrard Development, Inc.
Alleges violations of KRS 121.170(1), KRS 121.150(4), KRS 121.180(3)(a) and KRS 121.190(1).

REGISTRY BOARD MEETING AGENDA

March 25, 2010

Page 2 of 2

7. **Case No. 2009-154:** In re: Friends of Governor Fletcher Committee, Ronald Switzer, Treasurer; American Society of Interventional Pain Physicians PAC, Kentucky Society of Interventional Pain Physicians, Inc., PAC, Dr. Laxmaiah Manchikanti Chairman; and Marshall's Jewelers, Inc., David Rosenblatt, Agent
Alleges violations of KRS 121.150(6), KRS 121.150(7), KRS 121.150(12), KRS 121.025, and KRS 121.150(20).
8. **Case No. 2010-223:** In re: Campaign Fund of Robert "Buddy" Buckingham, Robert "Buddy" Buckingham, Candidate/Treasurer
Alleges violations of KRS 121.180(10), KRS 121.175(1), KRS 121.160(2), and KRS 121.180(3), see also 32 KAR 2:200.
9. **Case No. 2010-294:** In re: Campaign Fund of Jack Conway, Candidate, Scott Karem, Treasurer; American Society of Interventional Pain Physicians PAC, Kentucky Society of Interventional Pain Physicians, Inc. PAC, Dr. Laxmaiah Manchikanti, Chairman; and Charles H. Mihalek, P.S.C., Charles H. Mihalek, Registered Agent
Alleges violations of KRS 121.025, KRS 121.150(20), KRS 121.150(6) and KRS 121.150(7).
10. **Budget Report:** Rebecca Feland, Fiscal Officer
11. **IT & Administration Update:** Rhonda K. Farmer, Assistant Executive Director
12. **Legislation 2010 Update:** Sarah M. Jackson, Executive Director
13. **Candidate Training 2010:** Sarah M. Jackson & Rhonda K. Farmer
14. **General Counsel's Update:** Emily Dennis
15. **Executive Director's Report:** Sarah M. Jackson

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

August 6, 2010
10:00 a.m.

Call to Order and Roll Call

- 1. Approval of March 25, 2010 Minutes**
- 2. Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
 - c. Cases Recommended for Administrative Closure
 - d. Cases Recommended for Abeyance
- 3. Approval of Statewide Audits: Without Findings**

Campaign Fund of Stan Lee for the Office of Attorney General, 2007 Primary
- 4. Case No. 2008-406:** In re: Dr. Ronald M. Holmes, Candidate, Committee to Re-Elect Dr. Holmes, Tom Renders, Treasurer, and Mark Handy, Former Treasurer
Alleges violations of KRS 121.160(2)(b)-(d), KRS 121.175(1), KRS 121.180(3)(a), and KRS 121.220(2)
- 5. Case No. 2010-367:** In re: Committee to Retain Judge Sadiqa Reynolds, Laura G. Douglas, Treasurer, Keith Reynolds, Chair; Sadiqa N. Reynolds, Candidate; and Self Enterprises, LLC, David Self, Registered Agent
Alleges violations of KRS 121.150(6) and KRS 121.180(3)(a)
- 6. Case No. 2010-370:** In re: Barry L. Laws, Candidate/Treasurer, and Open Range, Inc., Barry Laws, Registered Agent
Alleges violations of KRS 121.025 and KRS 121.150(20)
- 7. Case No. 2010-366:** In re: Campaign Fund of Todd Hollenbach for State Treasurer, Todd Hollenbach, Candidate, and Daniel Sullivan, Treasurer
Alleges violations of KRS 121.160(2)(d)

REGISTRY BOARD MEETING AGENDA

August 6, 2010

Page 2 of 2

8. **Case No. 2010-468:** In re: Campaign Fund of Richie Farmer for Commissioner of Agriculture, Richie Farmer, Candidate, William Malone, Treasurer; Virginia Farmer, Former Treasurer; and Sonny's Sporting Goods, Inc., Calvin Lawson, Jr., Registered Agent
Alleges violations of KRS 121.150(6), KRS 121.025, KRS 121.150(20), and KRS 121.175(1)
9. **Case No. 2010-508:** In re: Campaign Fund of Stan Lee for Attorney General, Stan Lee, Candidate, Tom Barker, Treasurer; and Associated Builders & Contractors PAC, Fred Orthmeyer, Chairman, Alan M. Rosenberg, Treasurer
Alleges violations of KRS 121.150(6) and KRS 121.150(7)
10. **Budget Report:** Rebecca Feland, Fiscal Officer
11. **General Counsel's Update:** Emily Dennis
12. **Executive Director's Report:** Sarah M. Jackson, Executive Director

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

November 18, 2010
10:00 a.m.

Call to Order and Roll Call

Election of Chair

- 1. Approval of August 6, 2010 Minutes**
- 2. Case No. 2010-882:** In re: Campaign Fund of Jonathan Miller and Irv Maze; Jonathan Miller, Candidate for Governor; Irv Maze, Candidate for Lt. Governor; Lisa Miller, Treasurer; and Joyce A. Merritt, former Treasurer
Alleges violation of KRS 121.180(6)
- 3. Case No. 2010-884:** In re: Campaign Fund of Steven L. Beshear/ Daniel Mongiardo; Steven L. Beshear, Candidate for Governor; Daniel Mongiardo, Candidate for Lt. Governor; Lindy Karns, Treasurer; American Society of Interventional Pain Physicians PAC; and Kentucky Society of Interventional Pain Physicians, Inc. PAC, Dr. Laxmaiah Manchikanti, Chairman
Alleges violations of KRS 121.180(6) and KRS 121.150(7)
- 4. Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a.** Board-Directed Conciliation Agreements
 - b.** Late Filing Conciliation Agreements
 - c.** Cases Recommended for Administrative Closure
 - d.** Cases Recommended for Abeyance
- 5. Budget Report:** Rebecca Feland, Fiscal Officer
- 6. General Counsel's Update:** Emily Dennis
- 7. Executive Director's Report:** Sarah M. Jackson, Executive Director, and Rhonda K. Farmer, Assistant Executive Director

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

April 15, 2011

10:00 a.m.

Call to Order and Roll Call

- 1. Approval of November 18, 2010 Minutes**
- 2. Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
- 3. Approval of Statewide Audits: Without Findings:** David Simpson, Auditor
 - a. Campaign Fund of Joe Graviss for Secretary of State: Primary Election 2011
 - b. Campaign Fund of Otis "Bullman" Hensley/Richard Robbins Slate: Primary Election 2011
- 4. Case No. 2010-881:** In re: Campaign Fund of Ronald G. Winters, Ronald G. Winters, Candidate, and Clara Wyatt, Treasurer
Alleges violations of KRS 121.180(3)(a)3 and KRS 121.180(3)(a)5
- 5. Case No. 2010-885:** In re: Campaign Fund of Stan Lee for State Representative 45th District, Stan Lee, Candidate, and Warren Rogers, Treasurer
Alleges violations of KRS 121.190(1)
- 6. Case No. 2010-937:** In re: Campaign Fund of Dave Otto, Dave Otto, Candidate, and Mike Almoslechner, Treasurer
Alleges violations of KRS 121.175(1), KRS 121.180(10) and KRS 121.190(1)
- 7. Case No. 2011-39:** In re: Campaign Fund of Nichole T. Compton for District Judge, Nichole T. Compton, Candidate/Treasurer
Alleges violations of KRS 121.180(3)(a)
- 8. Online Searchable Database Overview and Summary Training:** Jim Neal, Network Analyst

REGISTRY BOARD MEETING AGENDA

April 15, 2011

Page 2 of 2

9. **Budget Report:** Rebecca Feland, Fiscal Officer
10. **General Counsel's Update:** Emily Dennis
11. **Executive Director's Report:** Sarah M. Jackson, Executive Director, and Rhonda K. Farmer, Assistant Executive Director

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

November 30, 2011
10:00 a.m.

Call to Order and Roll Call

Swearing in of Rosemary Center and Thomas B. Stephens: Hon. Thomas Wingate, Judge, Franklin Circuit Court

Election of Chair

Introduction of Secretary of State-Elect Alison Lundergan Grimes

- 1. Approval of April 15, 2011 Minutes**
- 2. Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
- 3. Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Holsclaw-Vermillion Gubernatorial Slate, 2011 Primary
 - b. Campaign Fund of John Faris Lackey for Commissioner of Agriculture, 2011 Primary
 - c. Campaign Fund of Steve Hamrick for State Treasurer, 2011 Primary
 - d. Campaign Fund of David Williams for Commissioner of Agriculture, 2011 Primary
 - e. Campaign Fund of Rob Rothenburger for Commissioner of Agriculture, 2011 Primary
 - f. Campaign Fund of Stewart Gritton for Commissioner of Agriculture, 2011 Primary
 - g. Campaign Fund of B. D. Wilson for Commissioner of Agriculture, 2011 Primary
 - h. Campaign Fund of Addia K. Wuchner for Auditor of Public Accounts, 2011 Primary
- 4. Case No. 2010-786:** In re: Campaign Fund of Gary Thacker, Gary Thacker, Candidate, Janet Thacker, Treasurer; Funtime Inflatables, LLC; Reliance Realty, Inc.; and Southside Real Estate Developers, Inc.
Alleges violations of KRS 121.025, KRS 121.150(20), KRS 121.180(3), KRS 121.180(6), KRS 121.160(2), KRS 121.220, and 32 KAR 2:130 § 2
- 5. Case No. 2010-883:** In re: David Toborowsky, Candidate/Treasurer; Better Schools Kentucky PAC, Dennis Wiseman, Chairman; and Jefferson County Teachers Association, Brent McKim, President, Dennis Wiseman, Treasurer
Alleges violations of KRS 121.180(6), KRS 121.150(1), and KRS 121.180(3)

REGISTRY BOARD MEETING AGENDA

November 30, 2011

Page 2 of 2

6. **Case No. 2010-938:** In re: Campaign Fund of Shawn “Stumpy” Stump for Rowan County Sheriff, Shawn “Stumpy” Stump, Candidate/Treasurer; Edward Lee Eddins; Patricia Eddins; Lloyd Dean; Eddie Thomas; and Jeffrey “Doug” Morgan, individually and in his capacity as Chairman of the Rowan County Republican Executive Committee
Alleges violations of KRS 121.180(1), KRS 121.180(3), KRS 121.160(2), 32 KAR 2:130 § 2, KRS 121.190(1), KRS 121.150(4), and KRS 121.150(6)
7. **Case No. 2010-1002:** In re: Northern Kentucky Tea Party, Inc.
Alleges violations of KRS 121.025, KRS 121.150(1), KRS 121.170(1), and KRS 121.180
8. **Registry Presentations/Seminars:** Dr. Terry Naydan, Registry Board Member
9. **Budget Report:** Rebecca Feland, Fiscal Officer
10. **Email Reminder Project – Next Phase:** Rhonda Farmer, Assistant Executive Director
11. **General Counsel’s Update:** Emily Dennis
12. **Legislation 2012**
13. **Executive Director’s Report:** Sarah M. Jackson

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

April 27, 2012

10:00 a.m.

(Revised)

Call to Order and Roll Call

Introduction of Maryellen Allen, Executive Director, State Board of Elections

Introduction of Natalia Hera Setiyawati, 2012 International Fellow, Indonesia

- 1. Approval of November 30, 2011 Minutes**
- 2. 2012 Legislation:** Emily Dennis
- 3. Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
 - c. Cases to be Held in Abeyance
- 4. Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Todd P'Pool for Office of Attorney General, 2011 Primary
 - b. Campaign Fund of Todd P'Pool for Office of Attorney General, 2011 General
 - c. Campaign Fund of Bill Johnson for Office of Secretary of State, 2011 Primary
 - d. Campaign Fund of Bill Johnson for Office of Secretary of State, 2011 General
 - e. Campaign Fund of Kim C. Hay for Office of Auditor of Public Accounts, 2011 General
 - f. Campaign Fund of Robert Farmer for Office of Commissioner of Agriculture, 2011 Primary
 - g. Campaign Fund of Robert Farmer for Office of Commissioner of Agriculture, 2011 General
 - h. Campaign Fund of Elaine N. Walker for Office of Secretary of State, 2011 Primary
 - i. Campaign Fund of Galbraith/Riley Gubernatorial Slate, 2011 General
- 5. Case No. 2011-106:** In re: Campaign Fund of Larry Shelton, Larry Shelton, Candidate, Wayne L. Pearson, Treasurer
Alleges violations of KRS 121.160(2)(a), KRS 121.160(2)(c), KRS 121.180(3) and KRS 121.220(1)

REGISTRY BOARD MEETING AGENDA

April 27, 2012

Page 2 of 2

6. **Case No. 2012-293:** In re: Amanda S. Moore, 2010 Candidate for State Representative, 78th District, and 2012 Candidate for City Commissioner, City of Cynthiana
Alleges violations of KRS 121.160(2), KRS 121.175(1), KRS 121.180(1) and KRS 121.180(10)
7. **Case No. 2012-294:** In re: Campaign Fund of Hilda Legg for Secretary of State, Hilda Legg, Candidate, John Wilson, Treasurer; and Howard Eastridge Construction, Inc.
Alleges violation of KRS 121.025 and KRS 121.150(20)
8. **Budget Report:** Rebecca Feland, Fiscal Officer
9. **Executive Director's Report:** Sarah M. Jackson

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

August 29, 2012

10:00 a.m.

Call to Order and Roll Call

1. **Approval of April 27, 2012 Minutes**
2. **Special Presentation: Chairman Craig C. Dilger**
3. **Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
4. **Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Adam Edelen for the Office of Auditor of Public Accounts, 2011 Primary
 - b. Campaign Fund of Adam Edelen for the Office of Auditor of Public Accounts, 2011 General
5. **Case No. 2011-203:** In re: Campaign Fund of Greg Fischer for Mayor of Louisville Metro Government (2010 general), Jon A. Meyer, Treasurer; Greg Fischer Mayoral Inauguration Committee, Christy Brown, Chairperson, Jon A. Meyer, Treasurer; Iceberg Ventures, LLC; Greg Fischer, as Candidate for Mayor of Louisville Metro Government and Member of Iceberg Ventures, LLC; Tommy Elliott, Marty Cogan, and Ruth Payne, Treasurer of the Campaign Fund of Greg Fisher for Mayor of Louisville Metro Government (2010 primary)
Alleges violations of KRS 121.220(1), KRS 121.160(2)(a), KRS 121.180(3)(a), KRS 121.170(2), KRS 121.180, KRS 121.170(1)
6. **Case No. 2011-154:** In re: Better Schools Kentucky PAC, Dennis Wiseman, Chairman/ Treasurer; Jefferson County Teachers Association, Brent McKim, President, Dennis Wiseman, Treasurer; The Truth From American Workers, Inc., Dave Riggs, Chairman, Larry Fox, Treasurer; and Campaign Fund of Brian Simpson for State Representative, 46th District, Brian Simpson, Candidate/Treasurer
Alleges violations of KRS 121.170(1), KRS 121.150(10), KRS 121.150(1), KRS 121.150(6), KRS 121.025, and KRS 121.150(20)

REGISTRY BOARD MEETING AGENDA

August 29, 2012

Page 2 of 2

7. **Case No. 2012-338:** In re: Campaign Fund of Ken Moellman for State Treasurer, Ken Moellman, Candidate, Eric Grinnell, Treasurer; and the Libertarian Party of Kentucky, Eric Cranley, Chairman, Matthew Holder, Treasurer
Alleges violations of KRS 121.150(6)
8. **Case No. 2012-347:** In re: Campaign Fund of Phil Moffett/ Mike Harmon Slate, Phil Moffett, Candidate for Governor, Mike Harmon, Candidate for Lt. Governor, Christi Moffett, Treasurer
Alleges violations of KRS 121.180(3)(a)2
9. **Budget Report:** Rebecca Feland, Fiscal Officer
10. **General Counsel's Update:** Emily Dennis
11. **Executive Director's Report:** Sarah M. Jackson
12. **2013 Heartland Conference Update:** Chairman Dilger

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

November 28, 2012

10:00 a.m.

(Revised)

Call to Order and Roll Call

Swearing in of Craig C. Dilger and Terry Naydan: Hon. Thomas Wingate, Judge, Franklin Circuit Court

Election of Chair

Recognition of Jack O’Nan, KREF Personnel Officer: Craig C. Dilger

- 1. Approval of August 29, 2012 Minutes**
- 2. Introduction of New KREF Staff**
- 3. Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements**
 - b. Late Filing Conciliation Agreements**
- 4. Case No. 2012-311: In re: Campaign Fund of Jeff Donohue for State Representative, District 37, Jeff Donohue, Candidate, Clarence W. Miller, Treasurer; and Collis B. Nelson**
Alleges violations of KRS 121.175(1), KRS 121.180(3)(a), and KRS 121.150(6)
- 5. Case No. 2012-425: In re: Campaign Fund of Barry W. Geary for Ohio County School Board, District 1, Barry W. Geary, Candidate/Treasurer**
Alleges violations of KRS 121.180(3)(a)(4) & (5), and KRS 121.160(2)(a), (c) & (d)
- 6. Case No. 2012-461: In re: Campaign Fund of Charity Edmonston for State Representative, District 47 (2012 primary), Charity Edmonston, Candidate/Treasurer**
Alleges violations of KRS 121.175(1), KRS 121.180(4), (7) & (10), and KRS 121.160(2)

REGISTRY BOARD MEETING AGENDA

November 28, 2012

Page 2 of 2

7. **Case No. 2012-489**: In re: Committee to Elect KC Crosbie for Kentucky State Treasurer, Jason Brangers, Treasurer; Dana Newsome Corbett, D.M.D., P.S.C.; Mitchell D. Gravo, Inc.; and Cumberland Lake Shell, Inc.
Alleges violations of KRS 121.025 and KRS 121.150(20)
8. **Budget Report**: Rebecca Feland, Fiscal Officer
9. **General Counsel's Update**: Emily Dennis
10. **2013 Legislation**: Craig C. Dilger

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

March 14, 2013

10:00 a.m.

Call to Order and Roll Call

1. **Approval of November 28, 2012 Minutes**
2. **Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
3. **Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of Alison Lundergan Grimes for Kentucky Secretary of State, 2011 Primary
4. **Case No. 2011-201:** In re: Restoring America, an unauthorized campaign committee; Restoring America, Inc.; Jim Rutledge, individually, as incorporator of Restoring America, Inc., and as Chairperson for Restoring America; Mike Blankenbecler, individually, as Director of Restoring America, Inc., and as Treasurer of Restoring America; Terry Stephens; Williams-Farmer 2011, a gubernatorial slate campaign committee; MG (R) Donald Storm, as Chairperson for Williams-Farmer 2011; Laura LaRue, as Treasurer for Williams-Farmer 2011; and David L. Williams, Candidate for Governor
Alleges violations of KRS 121.180(3)(a), KRS 121.150(6) and KRS 121.150(1)
5. **Case No. 2011-203:** In re: Campaign Fund of Greg Fischer for Mayor of Louisville Metro Government (2010 general), Jon A. Meyer, Treasurer; Greg Fischer Mayoral Inauguration Committee, Christy Brown, Chairperson, Jon A. Meyer, Treasurer; Iceberg Ventures, LLC; Greg Fischer, as Candidate for Mayor of Louisville Metro Government and Member of Iceberg Ventures, LLC; Tommy Elliott, Marty Cogan, and Ruth Payne, Treasurer of the Campaign Fund of Greg Fischer for Mayor of Louisville Metro Government (2010 primary)
Alleges violations of KRS 121.220(1), KRS 121.160(2)(a), KRS 121.180(3)(a), and KRS 121.170(1) and (2)

REGISTRY BOARD MEETING AGENDA

March 14, 2013

Page 2 of 2

6. **Case No. 2013-23:** In re: Williams Farmer 2011 Gubernatorial Slate Campaign Committee, Laura LaRue, Treasurer
Alleges violations of KRS 121.180(3)(a)(2) and KRS 121.150(6)
7. **Case No. 2013-22:** In re: Campaign Fund of John T. Kemper III for Kentucky State Auditor of Public Accounts, John T. Kemper III, Candidate, Donna Davidson, Treasurer; and Nancy Kemper Works
Alleges violations of KRS 121.150(6), KRS 121.180(3)(a)(2), and KRS 121.150(3)
8. **Case No. 2013-24:** In re: Campaign Fund of Alison Lundergan Grimes for Kentucky Secretary of State, Alison Lundergan Grimes, Candidate, Noel Caldwell, Treasurer
Alleges violations of KRS 121.150(4)
9. **Budget Report:** Rebecca Feland, Fiscal Officer
10. **2012 Election Cycle Wrap-Up:** Rhonda K. Monroe, Assistant Executive Director
11. **General Counsel's Update:** Emily Dennis
12. **2013 Legislation:** Craig C. Dilger
13. **Executive Director's Report:** Sarah M. Jackson & Rhonda K. Monroe

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

Executive Director's Report

- KREF Personnel Update
- 2013 Heartland Conference: Update
- COGEL 2013: Quebec City, Quebec, Canada
December 8-11, 2013
- Wrap-Up: International Fellows Program

Sarah M. Jackson, Executive Director
Rhonda K. Monroe, Assistant Executive Director



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET, FRANKFORT, KY 40601

REGISTRY BOARD MEETING AGENDA

June 4, 2013

2:00 p.m.

The Brown Hotel, Secretariat A, Lower Lobby Level
335 West Broadway, Louisville, KY 40202

Call to Order and Roll Call

1. **Approval of March 14, 2013 Minutes**
2. **Commonwealth of Kentucky Direct Deposit Procedures:** Michelle Starkweather, Human Resource Administrator
3. **Approval of Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
4. **Approval of Statewide Audits: Without Findings**
 - a. Campaign Fund of L. J. "Todd" Hollenbach for State Treasurer, 2011 Primary
5. **Case No. 2012-470:** In re: Michele Turner, as agent of "Vote for Libraries"
Alleges violations of KRS 121.170(1) and KRS 121.180(3)
6. **Case No. 2012-490:** In re: Campaign Fund of Susan Westrom for State Representative, District 79, Susan Westrom, Candidate, and Linda Cook, Treasurer
Alleges violations of KRS 121.190(1) and KRS 121.180(3)
7. **Budget Report:** Rebecca Feland, Fiscal Officer
8. **Personnel Update:** Craig C. Dilger, Registry Chairman
9. **Executive Director's Report:** Sarah M. Jackson
10. **Review of 2013 Heartland Governmental Ethics Conference:** Craig C. Dilger

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

October 30, 2013

1:00 p.m.

Call to Order and Roll Call

Introduction of New Registry Board Members: Reid Haire and Chastity Ross

Swearing in of New Registry Board Members Reid Haire and Chastity Ross: Hon. Thomas Wingate, Judge, Franklin Circuit Court

Election of Chair

1. **Approval of June 4, 2013 Minutes**
2. **Introduction of New Registry Employees:** Sandy Gibson, Jocelyn King and Melissa Stinnett:
Sarah M. Jackson, Executive Director
3. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
 - c. Cases Recommended for Abeyance
4. **Approval of ITC Audits: Without Findings:** Chairman Dilger
 - a. Fayette County Democratic Executive Committee
 - b. Fayette County Republican Executive Committee
 - c. Jefferson County Democratic Executive Committee
 - d. Jefferson County Republican Executive Committee
 - e. Kenton County Democratic Executive Committee
 - f. Kenton County Republican Executive Committee
5. **Approval of Statewide Audits: Without Findings:** Chairman Dilger
 - a. Campaign Fund of L. J. "Todd" Hollenbach for State Treasurer, 2011 General

6. **Case No. 2013-38:** In re: Campaign Fund of Richie Farmer for Commissioner of Agriculture, Richie Farmer, Candidate, William Malone, Treasurer
Alleges violations of KRS 121.160(2)(d)
7. **Case No. 2012-426:** In re: Campaign Fund of Bill Bennett for Elizabethtown City Council, Bill Bennett, Candidate/Treasurer
Alleges violations of KRS 121.180(1)(d)2. & (3), KRS 121.190(1) and KRS 121.160(2)(a) & (d)
8. **Case No. 2012-460:** In re: Campaign Fund of Brannon Dunn for Lexington-Fayette Urban County Government Council, District 2, Brannon Dunn, Candidate, and Dena Booker-Dunn, Treasurer
Alleges violations of KRS 121.180(3)(a), KRS 121.160(2)(a),(c) & (d), and KRS 121.190(1)
9. **Case No. 2013-53:** In Re: Campaign Fund of John Clem, Jr. for Harlan County Jailer, John Clem, Jr., Candidate/ Treasurer
Alleges violations of KRS 121.015(8), KRS 121.180(3)(b)1., and KRS 121.190(1)
10. **Budget Report:** Rebecca Feland, Budget Analyst
11. **Personnel Committee Update:** Chairman Dilger
12. **Legislation 2014:** Chairman Dilger
13. **Executive Director's Report:** Sarah M. Jackson

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

March 20, 2014

1:00 p.m.

Call to Order and Roll Call

1. **Approval of October 30, 2013 Minutes**
2. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
3. **Approval of ITC Audits: Without Findings:** Chairman Dilger
 - a. Kentucky State Democratic Executive Committee
 - b. Republican Party of Kentucky Executive Committee
4. **Approval of Statewide Audits: Without Findings:** Chairman Dilger
 - a. Campaign Fund of Jack Conway for Attorney General, 2011 Primary
 - b. Campaign Fund of Jack Conway for Attorney General, 2011 General
5. **Case No. 2014-122:** In re: Campaign Fund of Mike Jansen for Campbell County Sheriff, Mike Jansen, Candidate, and Timothy Schneider, Treasurer
Alleges violation of KRS 121.180(3)(b)1.
6. **Case No. 2014-124:** In re: Campaign Fund of Eddie Girdler for Mayor, City of Somerset, Eddie Girdler, Candidate/Treasurer
Alleges violation of KRS 121.190(1)
7. **Case No. 2012-293:** In re: Amanda S. Moore, Candidate for State Representative, 78th District
Show Cause Notice for Civil Penalty Past Due
8. **Budget Report:** Rebecca Feland, Budget Analyst
9. **Personnel Update:** Chairman Dilger
10. **Legislation 2014:** Chairman Dilger and Emily Dennis
11. **Chairman/Executive Director's Report:** Chairman Dilger and Sarah Jackson

New Business

Future Meeting Date(s)

Adjournment

2014 Primary Election Candidates By Spending Options

Spending Option	Total Candidates
\$1000 or Less	3,753
\$3000 or Less	1002
More than \$3000	1563
Total	6,318



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

September 18, 2014
1:00 p.m.

Call to Order and Roll Call

Introduction of New Registry Board Member Robert D. Mattingly: Chairman Craig Dilger

Swearing in of Robert D. Mattingly: Hon. Thomas Wingate, Judge, Franklin Circuit Court

Election of Chairman/Vice-Chairman

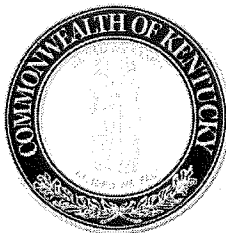
1. **Approval of March 20, 2014 Minutes**
2. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
3. **Case No. 2014-128:** In re: Campaign Fund of Erich V. Shumake for Louisville Metro Council, District 21, Erich V. Shumake, Candidate/Treasurer
Alleges violations of KRS 121.190(1), KRS 121.160(2), and KRS 121.180(3)
4. **Case No. 2014-129:** In re: Campaign Fund of Anthony Gullett for Morgan County Sheriff, Anthony Gullett, Candidate/ Treasurer, and Campaign Fund of Kim Ratliff for Morgan County Sheriff, Kim Ratliff, Candidate, Phillip Williams, Treasurer
Alleges violation of KRS 121.190(1) and KRS 121.175(1)
5. **Case No. 2014-137:** In re: Campaign Fund of Hal Thomas Kemp for State Representative, District 5, Hal Thomas Kemp, Candidate, Michael D. Lyons, Treasurer
Alleges violations of KRS 121.180
6. **Case No. 2014-705:** In re: Campaign Fund of Ronald "Woodie" Cheek for Judge Executive, Spencer County, Kentucky, Ronald "Woodie" Cheek, Candidate/Treasurer
Alleges violation of KRS 121.160, KRS 121.180, and KRS 121.220
7. **Case No. 2014-745:** In re: Campaign Fund of Rosier/Yuen Gubernatorial Slate, Kristen Talbert, Treasurer; Robert Lee Rosier, Candidate for Governor; John Yuen, Candidate for Lieutenant Governor; Young Rosier, Former Treasurer; and Mary Joyce Cross, Former Treasurer
Alleges violations of KRS 121.120(6)(h)

8. **Budget Report:** Chairman Dilger and Sarah Jackson
9. **General Counsel's Update:** Emily Dennis
10. **Legislation 2015:** Chairman Dilger and Emily Dennis
11. **Chairman/Executive Director's Report:** Chairman Dilger and Sarah Jackson

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

April 17, 2015

10:00 a.m.

Call to Order and Roll Call

1. **Approval of September 18, 2014 Minutes**
2. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
 - c. Show Cause Notices
3. **Case No. 2015-015:** In re: Campaign Fund of Steven L. Beshear/ Jerry Abramson 2011 Gubernatorial Slate, M. Melinda Karns, Treasurer, Steven L. Beshear, Candidate for Governor, and Jerry Abramson, Candidate for Lieutenant Governor
Alleges violations of KRS 121.150(6) and 32 KAR 2:130 § 1 (1) & (3)
4. **Budget Report:** Rebecca Feland, Interim Executive Director
5. **Personnel Committee Report and Recommendations:** Craig C. Dilger, Chairman
6. **General Counsel's Update:** Emily Dennis
7. **Registry and Related Legislation 2015:** Chairman Dilger and Emily Dennis
8. **Chairman/Executive Director's Report:** Chairman Dilger and Rebecca Feland

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE

Chairman/Executive Director's Report

Craig C. Dilger, Chairman

Rebecca Feland, Interim Executive Director

- Personnel Update: Introduction of New Registry
Employee: Jeff Clark
- Board Mandated Staff Training
- 2014 General Election Update
- 2015 Primary Election Candidates & Schedule
- IT Update:
 - E-file Software Testing
 - Procurement of Desktop Computers
- 2014 COGEL Conference Update: Pittsburg, Pennsylvania
- 2015 COGEL Conference: December 6-9, 2015, Boston, Massachusetts

2014 General Election Candidates By Spending Options

Spending Option	Total Candidates
\$1000 or Less	5,349
\$3000 or Less	561
More than \$3000	1,274
Total	7,184

2015 Primary Election Candidates By Spending Options

Spending Option	Total Candidates
\$1000 or Less	11
\$3000 or Less	1
More than \$3000	45
Total	57



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

September 24, 2015
10:00 a.m.

Call to Order and Roll Call

1. **Introduction of New Executive Director, John R. Steffen**
2. **Approval of April 17, 2015 Minutes**
3. **Election of Chair**
4. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
5. **Approval of Statewide Audits: Without Findings:** Chairman Dilger
 - a. Campaign Fund of Michael Pitzer for Secretary of State, 2015 Primary
6. **Case # 2015-114:** In re: Campaign Fund of Charles Lovett for Kentucky Secretary of State, Charles Lovett, Candidate/ Treasurer
Alleges violations of KRS 121.120(6)(h) & KRS 121.220(1)-(2)
7. **Case No. 2014-133:** In re: Campaign Fund of F.L. "Ben" Waide for State Representative, District 10, F.L. "Ben" Waide, Candidate, and Stacy Waide, Treasurer
Alleges violations of KRS 121.025, KRS 121.035, and KRS 121.990
8. **Budget Report:** Rebecca Feland, Budget Analyst
9. **General Counsel's Update:** Emily Dennis
10. **Legislation 2016:** Chairman Dilger and Emily Dennis
11. **Chairman/Executive Director's Report:** Chairman Dilger and John R. Steffen

New Business
Future Meeting Date(s)
Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

September 24, 2015
10:00 a.m.

Call to Order and Roll Call

1. **Introduction of New Executive Director, John R. Steffen**
2. **Approval of April 17, 2015 Minutes**
3. **Election of Chair**
4. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
5. **Approval of Statewide Audits: Without Findings:** Chairman Dilger
 - a. Campaign Fund of Michael Pitzer for Secretary of State, 2015 Primary
6. **Case # 2015-114:** In re: Campaign Fund of Charles Lovett for Kentucky Secretary of State, Charles Lovett, Candidate/ Treasurer
Alleges violations of KRS 121.120(6)(h) & KRS 121.220(1)-(2)
7. **Case No. 2014-133:** In re: Campaign Fund of F.L. "Ben" Waide for State Representative, District 10, F.L. "Ben" Waide, Candidate, and Stacy Waide, Treasurer
Alleges violations of KRS 121.025, KRS 121.035, and KRS 121.990
8. **Budget Report:** Rebecca Feland, Budget Analyst
9. **General Counsel's Update:** Emily Dennis
10. **Legislation 2016:** Chairman Dilger and Emily Dennis
11. **Chairman/Executive Director's Report:** Chairman Dilger and John R. Steffen

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

March 23, 2016

10:00 a.m.

Call to Order and Roll Call

1. **Introduction of New Registry Board Member Elizabeth Weber:** Chairman Craig Dilger.
2. **Swearing in of Elizabeth Weber:** Hon. Thomas Wingate, Judge, Franklin Circuit Court
3. **Introduction of New Registry Paralegal Katie Flora:** Executive Director John R. Steffen
4. **Approval of September 24, 2015 Minutes**
5. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
 - c. Show Cause Notice(s)
6. **Approval of Statewide Audits: Without Findings:** Chairman Dilger
 - a. Campaign Fund of Richard Heath for Commissioner of Agriculture, 2015 Primary
 - b. Campaign Fund of Neville Blakemore for State Treasurer, 2015 Primary
7. **CASE NO. 2011-190:** In re: Campaign Fund of Steven L. Beshear/Jerry E. Abramson, a/k/a Beshear-Abramson 2011, M. Melinda "Lindy" Karns, CPA, Treasurer; Steven L. Beshear, Candidate for Governor; Jerry E. Abramson, Candidate for Lt. Governor; and Charles R. Geveden
Alleges violations of KRS 121.150(22) and KRS 121.320(1)
8. **CASE NO. 2011-191:** In re: The Sullivan University System, Inc., Alva Ray Sullivan, Registered Agent; A.R. Sullivan, individually and as Chancellor of Sullivan University; and Jim Crick, individually and as Vice-President for Enrollment Management, Sullivan University
Alleges violations of 121.025, KRS 121.035, and KRS 121.310
9. **CASE NO. 2016-14:** In re: Campaign Fund of Gatewood-Anderson Gubernatorial Slate, Gatewood B. Gatewood, Candidate for Governor, Candidate for Governor, Elizabeth Anderson, Candidate for Lieutenant Governor, Don Vazmina, Treasurer
Alleges violations of 121.120(6)(h) and 121.220(1)-(2)

- 10. Budget Report:** Rebecca Feland, Budget Analyst
- 11. Legislation 2016:** Chairman Dilger and John Steffen
- 12. General Counsel's Update:** Emily Dennis
- 13. Chairman/Executive Director's Report:** Chairman Dilger and John Steffen

New Business
Future Meeting Date(s)
Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

September 29, 2016

10:00 a.m.

Call to Order and Roll Call

1. **Approval of March 23, 2016 Minutes**
2. **Election of Chair / Vice-Chair**
3. **Introduction of New Registry Auditor Josh Manuel:** Executive Director John R. Steffen
4. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
5. **Approval of Statewide Audits: Without Findings:** Chairman Dilger
 - a. Campaign Fund of Whitney H. Westerfield for Attorney General, 2015 Primary
 - b. Campaign Fund of Whitney H. Westerfield for Attorney General, 2015 General
6. **Case No. 2014-884: In re: Campaign Fund of Kim King for Kentucky State Representative, 55th District, Kim King, Candidate and Noel C. Turner, PSC, Treasurer**
Alleges violations of KRS 121.160(2)© and KRS 121.180(3)(a)
7. **Case No. 2016-39: In re: Matt Teaford, Candidate for Kentucky House of Representatives, District 67**
Alleges violations of KRS 121.180(3)(a)
8. **Case No. 2016-413: In re: Campaign Fund of Michael Hogan, Candidate for Attorney General, Joy Hogan, Treasurer**
Alleges violation of KRS 121.160(2)(d) and KRS 121.180(3)(a)
9. **Budget Report:** John R. Steffen
10. **Legislation 2017:** Chairman Dilger and Emily Dennis

11. General Counsel's Update: Emily Dennis

12. Chairman/Executive Director's Report: Chairman Dilger and John Steffen

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

February 23, 2017
10:00 a.m.

Call to Order and Roll Call

1. **Introduction of New Registry Board Member Thomas P. O'Brien, III:** Chairman Craig C. Dilger
2. **Swearing in of Thomas P. O'Brien, III:** Hon. Thomas Wingate, Judge, Franklin Circuit Court
3. **Approval of September 29, 2016 Minutes**
4. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
5. **Approval of ITC Audits: Without Findings:** Chairman Dilger
 - a. Fayette County Democratic Executive Committee
 - b. Fayette County Republican Executive Committee
 - c. Jefferson County Democratic Executive Committee
 - d. Jefferson County Republican Executive Committee
 - e. Kentucky Democratic Executive Committee
 - f. Kentucky Republican Executive Committee
6. **Approval of Statewide Audits: Without Findings:** Chairman Dilger
 - a. Campaign Fund of Comer for Governor Gubernatorial Slate Committee, 2015 Primary
 - b. Campaign Fund of Committee to Elect Drew Curtis, a Gubernatorial Slate Committee, 2015 Primary
 - c. Campaign Fund of Committee to Elect Drew Curtis, a Gubernatorial Slate Committee, 2015 General
7. **Case No. 2014-136: In re: Campaign Fund of Pat Melton for Franklin County Sheriff, Pat Melton, Candidate, Yvonne Hill, Treasurer and Craig Blanton, Campaign Manager**
Alleges violations of KRS 121.150, KRS 121.160, KRS 121.180 and 32 KAR 2:130

8. **Case No. 2014-875: In re: Spencer County Democratic Executive Committee, Jane M. Schultz, Treasurer, and Barry Hume, Chairperson**
Alleges violations of KRS 121.190(1) and 32 KAR 2:110
9. **Case No. 2016-413: In re: Campaign Fund of Michael Hogan, Candidate for Attorney General, Joy Hogan, Treasurer**
Alleges violation of KRS 121.160(2)(d), KRS 121.180(3)(a) and KRS 121.220(1) & (2)
10. **Case No. 2016-414: In re: Steven L. Beshear, Joan Graham, Jim Barnhart, Matt Osborne, Sara Osborne, AT&T Mobility National Accounts LLC, Jeffery R. Mason, Mary Regan, Mary Keyer, David Condit, Amy Scarborough, Linda J. Cottingham, William Leahy, and Ellen Jones**
Alleges violation of KRS 121.330
11. **Budget Report:** Budget Analyst Rebecca Feland
12. **Legislation 2017:** Chairman Dilger and Executive Director John Steffen
13. **General Counsel's Update:** Emily Dennis
14. **Chairman/Executive Director's Report:** Chairman Dilger and John Steffen

New Business
Future Meeting Date(s)
Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

June 14, 2017

10:00 a.m.

Call to Order and Roll Call

1. **Approval of February 23, 2017 Minutes**
2. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing Conciliation Agreements
3. **Approval of Statewide Audits: Without Findings:** Chairman Dilger
 - a. Campaign Fund of Heiner/Crosbie Gubernatorial Slate, 2015 Primary
4. **Case No. 2014-744: In re: Campaign Fund of Denise Brown, Candidate for Circuit Court Judge, 30th Judicial District, Division 7, Charles C. Hagan, Treasurer; et al.**
Alleges violations of KRS 121.025, KRS 121.150(3) and (4), KRS 121.180(3)(a)5 and KRS 121.190(1)
5. **Case No. 2014-747: In re: Campaign Fund of Susan M. Jones for District Judge, 30th Judicial District, Division 11, Susan M. Jones, Candidate, and Norma C. Miller, Treasurer; NAMI Louisville, Inc. and Harbor House of Louisville, Inc.**
Alleges violations of KRS, 121.025, KRS 121.035, KRS 121.150(20) and KRS 121.190(1)
6. **Case No. 2014-879: In re: Campaign Fund of Brian Bayes for Carter County Attorney, Brian Bayes, Candidate and Diane May, Treasurer**
Alleges violation of KRS 121.190(1) and KRS 121.160(2)(c)
7. **Case No. 2014-883: In re: Campaign Fund of Melanie Steidel Pelle for Campbell County Commissioner, District 2, Melanie Steidel Pelle, Candidate/Treasurer**
Alleges violation of KRS 121.190(1)
8. **Case No. 2016-413: In re: Campaign Fund of Michael Hogan, Candidate for Attorney General, Joy Hogan, Treasurer**
Alleges violation of KRS 121.160(2)(d), KRS 121.180(3)(a), and KRS 121.220(1) and (2)

Registry Meeting Agenda
June 14, 2017
10:00 a.m.

9. **Budget Report:** Budget Analyst Rebecca Feland
10. **Legislation 2017:** Chairman Dilger and Executive Director John Steffen
11. **General Counsel's Update:** Emily Dennis
12. **Chairman/Executive Director's Report:** Chairman Dilger and John Steffen

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

September 27, 2017
10:00 a.m.

Call to Order and Roll Call

1. **Approval of June 14, 2017 Minutes**
2. **Election of Chair/Vice Chair**
3. **Approval of Conciliation Agreements: Emily Dennis, General Counsel**
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
4. **Approval of Statewide Audits: Without Findings:**
 - a. Campaign Fund of Richard Henderson for Kentucky State Treasurer, 2015 Primary
 - b. Campaign Fund of Kenneth Imes for Kentucky State Treasurer, 2015 Primary
 - c. Campaign Fund of Jim Glenn for Kentucky State Treasurer, 2015 Primary
 - d. Campaign Fund of Will T. Scott for Governor Gubernatorial Slate, 2015 Primary
5. **Case No. 2014-744: In re: Campaign Fund of Denise Brown, Candidate for Circuit Judge, 30th Judicial District, Division 7, Charles C. Hagan, Treasurer; and Denise Brown, as Candidate for Circuit Judge and Registered Agent for Denise Brown's Legal Direction, PLLC**
Alleges violations of KRS 121.025, KRS 121.150(3) and (4), KRS 121.180(3)(a)5 and (5), and KRS 121.190(1)
6. **Case No. 2014-748: In re: Dennis Burke for Family Court, Henry F. Weber, Chairperson, Christine L. Durrett, Treasurer; Committee to Re-elect Burke for Judge, John A. DeCamillis, Chairperson, B. Mark Mulloy, Treasurer; Dennis Burke, Candidate for Circuit Judge, 30th Judicial District, Division 7, and Stephanie Pearce Burke, Candidate for District Judge, 30th Judicial District, Division 14**
Alleges violations of KRS 121.015(9), KRS, 121.180(3), (5) and (10), and KRS 121.190(1)
7. **Budget Report: Rebecca Feland, Fiscal Officer**
8. **KREF Legislation 2018: John R. Steffen, Executive Director & Emily Dennis, General Counsel**

Registry Meeting Agenda
September 27, 2017
10:00 a.m.
Page 2 of 2

9. **General Counsel's Update:** Emily Dennis, General Counsel
10. **Information Technology Update:** John R. Steffen, Executive Director
11. **Chairman/Executive Director's Report:** Chairman Dilger & John R. Steffen, Executive Director

New Business
Future Meeting Date(s)
Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

February 21, 2018
10:00 a.m.

Items for the Agenda:

Introduction of New Registry Board Member James L. Deckard: Chairman Craig Dilger

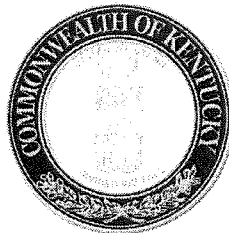
Swearing in of James L. Deckard: Hon. Thomas Wingate, Judge, Franklin Circuit Court

1. **Approval of September 27, 2017 Minutes**
2. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
3. **Approval of Statewide Audits: Without Findings:**
 - a. Campaign Fund of Allison Ball for State Treasurer, 2015 Primary
 - b. Campaign Fund of Allison Ball for State Treasurer, 2015 General
 - c. Campaign Fund of John Larson for State Treasurer, 2015 Primary
 - d. Campaign Fund of Blackii Whyte for Gubernatorial Slate, 2015 General
4. **Case No. 2018-770: In re: Campaign Fund of Ryan Quarles for Commissioner of Agriculture, Ryan Quarles Candidate, Donna Rennecker, Treasurer**
Alleges violations of KRS 121.180(10)
5. **Case No. 2018-771: In re: Campaign Fund of Stephen Knipper for Secretary of State, Stephen Knipper, Candidate, Mark Catron, Treasurer**
Alleges violations of KRS 121.160(2)(c)
6. **Case No2015-11: In re: Campaign Fund of Julie Myatt Moffitt for Graves County School Board, District 1, Julie Myatt Moffitt, Candidate/Treasurer**
Alleges violations of KRS 121.18(1)(d)2.,(3), and (4)

Registry Meeting Agenda
February 21, 2018
10:00 a.m.

- 7. Budget Report:** Rebecca Feland, Budget Analyst
- 8. Legislation 2018:** Chairman Dilger and Emily Dennis
- 9. General Counsel's Update:** Emily Dennis
- 10. Chairman/Executive Director's Report:** Chairman Dilger and John R. Steffen

New Business
Future Meeting Date(s)
Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

June 27, 2018

10:00 a.m.

Items for the Agenda:

1. **Approval of February 21, 2018 Minutes**
2. **Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
3. **Approval of Statewide Audits: Without Findings:**
 - a. Campaign Fund of Rick Nelson for State Treasurer, 2015 Primary
 - b. Campaign Fund of Rick Nelson for State Treasurer, 2015 General
 - c. Campaign Fund of Geoff Young/Cherokee Schill Gubernatorial Slate, 2015 Primary
 - d. Campaign Fund of Geoff Young/Jonathan Masters Gubernatorial Slate, 2015 General
5. **Case No. 2015-13: In re: Campaign Fund of Marcey Parker for Webster County Jailer, Marcey Parker, Candidate and Mandy Liggett, Treasurer**
Alleges violations of KRS 121.220(2) and KRS 121.160(2)(a)
6. **Case No. 2015-56: In re: Campaign Fund of Daniel B. Grossberg for State Treasurer, Daniel B. Grossberg, Candidate and Larry Singer, Treasurer**
Alleges violations of KRS 121.180
7. **Case No. 2015-133: In re: Campaign Fund of Jean-Marie Lawson Spann for Commissioner of Agriculture, J. Christopher Pedigo, former Treasurer, Beverly Lawson, Treasurer; Jean-Marie Lawson Spann, Candidate and Vice-President of Lawson Marketing, Inc., Lawson Marketing, Inc., and Sam Lawson, individually and as President of Lawson Marketing, Inc.**
Alleges violations of KRS 121.025, KRS 121.035(1) and KRS 121.180(3)(a)
8. **Budget Report:** Rebecca Feland, Budget Analyst
9. **Legislation 2019:** Chairman Dilger and Emily Dennis
10. **General Counsel's Update:** Emily Dennis

Registry Meeting Agenda

June 27, 2018

10:00 a.m.

11. Chairman/Executive Director's Report: Chairman Dilger and John R. Steffen

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

October 11, 2018
10:00 a.m.

Call to Order and Roll Call

- 1. Approval of June 26, 2018 Minutes**
- 2. Election of Chair/Vice Chair**
- 3. Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
- 4. Approval of Statewide Audits: Without Findings:**
 - a. Campaign Fund of James R. Comer for Kentucky State Commissioner of Agriculture, 2011 Primary
 - b. Campaign Fund of James R. Comer for Kentucky State Commissioner of Agriculture, 2011 General
 - c. Campaign Fund of Mike Harmon for Kentucky State Auditor of Public Accounts, 2015 Primary
 - d. Campaign Fund of Jean-Marie Lawson Spann for Kentucky State Commissioner of Agriculture, 2015 Primary
 - e. Campaign Fund of Jean-Marie Lawson Spann for Kentucky State Commissioner of Agriculture, 2015 General
- 5. Case No. 2016-53: In re: Campaign Fund of Fitz Steele for State Representative, District 84, Fitz Steele, Candidate, Carl Gay, Jr., Treasurer**
Alleges violations of KRS 121.150(6), KRS 121.160(1), KRS 121.160(2)(b-d) and KRS 121.180(3)(a)
- 6. Case No. 2016-415: In re: Campaign Fund of Chuck Tackett for State Representative, District 62, Chuck Tackett, Candidate, Stephen W. Glass, Treasurer**
Alleges violations of KRS 121.190(1)
- 7. Case No. 2018-1419: In re: Campaign Fund of Stephen Knipper for Kentucky Secretary of State, Stephen Knipper, Candidate, Mark Catron, Treasurer**
Alleges violations of KRS 121.180(3)(a)(2-5), and 121.180(5)

Registry Meeting Agenda

October 11, 2018

10:00 a.m.

8. **Case No. 2018-1420: In re: Chris Thieneman, individually or on behalf of the “Victims of the Youth Explorer Program”**
Alleges violations of KRS 121.170(1), KRS 121.150(1), and KRS 121.190(1)
9. **Case No. 2018-1940: In re: Campaign Fund of Andy Beshear for Kentucky Attorney General, Andrew G. Beshear, Candidate for Attorney General, M. Melinda Karns, Treasurer, Thomas J. Murphy, P.C., Thomas J. Murphy, President of Thomas J. Murphy, P.C., and The Pet Station Inc., a Kentucky corporation, Paul T. Blackburn, President of the Pet Station, Inc.**
Alleges violations of KRS 121.025, KRS 121.150(6), KRS 121.150(12) and KRS 121.150(20)
10. **Case No. 2018-1985: In re: Conway Overly Gubernatorial Slate Campaign Committee, Jack Conway, Candidate for Governor, Sannie Overly, Candidate for Lieutenant Governor, Nathan G. Smith, Committee Chairman, and R. Wayne Stratton, Committee Treasurer**
Alleges violations of KRS 121.150(6) and KRS 121.150(12)
11. **Case No. 2018-1986: In re: Campaign Fund of Adam Edelen for Kentucky State Auditor of Public Accounts, Adam Edelen, Candidate for Auditor of Public Accounts, Phil Holoubek, Treasurer**
Alleges violations of KRS 121.120(6)(h), KRS 121.180(3)(a) and KRS 121.180(5)
12. **Budget Report:** Rebecca Feland, Budget Analyst
13. **Legislation 2019:** Chairman Dilger and Emily Dennis
14. **General Counsel’s Update:** Emily Dennis
15. **Chairman/Executive Director’s Report:** Chairman Dilger and John R. Steffen

New Business

Future Meeting Date(s)

Adjournment



KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601

AGENDA

February 28, 2019
10:00 a.m.

Items for the Agenda:

Introduction of New Registry Administrator Andrea Hoskins: Executive Director John R. Steffen

1. Approval of October 11, 2018 Minutes

2. Approval of Cases/Conciliation Agreements: Emily Dennis, General Counsel

- a. Board-Directed Conciliation Agreements
- b. Late Filing of Campaign Finance Reports

3. Approval of Statewide Audits: Without Findings:

- a. Campaign Fund of Mike Harmon for Kentucky State Auditor of Public Accounts, 2015 General

4. Case No. 2016-473: In re: Campaign Fund of Randy Blankenship, Candidate for Erlanger City Council, Randy Blankenship, Treasurer; Campaign Fund of Kathy Cahill, Candidate for Erlanger City Council, Kathy Cahill, Treasurer; Campaign Fund of Renee Skidmore, Candidate for Erlanger City Council, Renee Skidmore, Treasurer; Campaign Fund of Don Skidmore for Erlanger City Council, Don Skidmore, Treasurer; Campaign Fund of Thomas Cahill for Erlanger City Council, Thomas Cahill, Treasurer; and Campaign Fund of Patty Suedkamp for Erlanger City Council, Patty Suedkamp, Treasurer

Alleges violations of KRS 121.180(10) and KRS 121.190(1)

5. Case No. 2016-528: In re: Mark Kreimborg, Candidate and Treasurer of the Campaign Fund of Mark Kreimborg for Taylor Mill City Commission; Ed Kuehne, Candidate and Treasurer of the Campaign Fund of Ed Kuehne for Taylor Mill City Commission; Roger B. Reis, Candidate and Treasurer of the Campaign Fund of Roger B. Reis for Taylor Mill City Commission; and Daniel E. Murray, Candidate and Treasurer of the Campaign Fund of Daniel E. Murray for Taylor Mill City Commission

Alleges violations of KRS 121.160(2)(c) and (d), KRS 121.180(3)(a) and (10), KRS 121.190(1)

6. Case No. 2019-3422: In re: Campaign Fund of Alison Lundergan Grimes for Kentucky Secretary of State, Alison Lundergan Grimes, Candidate, Robert C. Stilz, III, Treasurer

Alleges violations of KRS 121.150(6) and KRS 121.180(3)(a)

7. Budget Report: Rebecca Feland, Budget Analyst

8. Legislation 2019: Chairman Dilger and Emily Dennis

9. General Counsel's Update: Emily Dennis

10. Chairman/Executive Director's Report: Chairman Dilger and John R. Steffen

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

July 18, 2019

10:00 a.m.

Call to Order and Roll Call

Introduction of New Registry Board Member Richard Clayton Larkin: Chairman Craig Dilger

Swearing in of Richard Clayton Larkin: Hon. Thomas Wingate, Judge, Franklin Circuit Court

Introduction of Registry Law Clerk Meagan E. Pickett: Executive Director John R. Steffen

- 1. Approval of February 28, 2019 Minutes**
- 2. Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements**
 - b. Late Filing of Campaign Finance Reports**
- 3. Case No. 2017-652: In re: Campaign Fund of Scott Reed, Candidate for Louisville Metro Council, District 16; Scott W. Reed, Candidate, Robert L. Knust, Treasurer; Kentucky State Republican Executive Committee, a/k/a Republican Party of Kentucky or RPK, Mac Brown, Chairperson, Catherine D. Bell, Treasurer; Jefferson County Republican Executive Committee, Jim Stansbury, Chairperson, James L. Hill, Treasurer**
Alleges violations of KRS 121.150, KRS 121.170, KRS 121.180(3) and KRS 121.190(1)
- 4. Case No. 2018-986: In re: Campaign Fund of Walter Shrout for Bath County Judge Executive, Walter Shrout, Candidate/ Treasurer**
Alleges violation of KRS 121.190(1)
- 5. Case No. 2018-1992: In re: Campaign Fund of Wilson Stone for State Representative, District 22, Wilson Stone, Candidate, and Al Pedigo, Treasurer**
Alleges violations of KRS 121.160(2)(c) and KRS 121.190(1)
- 6. Case No. 2018-2547: In re: Campaign Fund of Jennifer Urie for State Representative, District 62, Jennifer Urie, Candidate, and Sayward McKee, Treasurer**
Alleges violation of KRS 121.190(1)

Registry Meeting Agenda

July 18, 2019

10:00 a.m.

Page 2 of 2

7. **Case No. 2019-202: In re: Campaign Fund of Daniel B. Grossberg for Kentucky State Treasurer, Daniel B. Grossberg, Candidate and Larry Singer, Treasurer**
Alleges violations of KRS 121.160(2)(d), KRS 121.180(3)(a), KRS 121.175(1), and KRS 121.180(3)(b), (4), and (7)
8. **Case No. 2019-204: In re: Kentucky Council for Better Government UCC, Erik Hermes, Chairperson, Kevin Niemoeller, Treasurer, and Tyson Hermes, individually and as Candidate and Treasurer of the Campaign Fund of Tyson Hermes for Erlanger City Council**
Alleges violations of KRS 121.150(1), KRS 121.150(6), KRS 121.180(3), and KRS 121.180(7)
9. **Budget Report:** Rebecca Feland, Budget Analyst
10. **General Counsel's Update:** Emily Dennis
11. **Chairman/Executive Director's Report:** Chairman Dilger and John R. Steffen

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

September 26, 2019
10:00 a.m.

Call to Order and Roll Call

Introduction of New Registry IT Systems Engineer Teddy Boone: Executive Director John R. Steffen

- 1. Approval of July 18, 2019 Minutes**
- 2. Approval of Cases/Conciliation Agreements:** Emily Dennis, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
- 3. Approval of Statewide Audits: Without Findings:**
 - a. Campaign Fund of Drew Curtis for Kentucky State Auditor of Public Accounts, 2019 Primary
 - b. Campaign Fund of Whitney Westerfield for Kentucky Attorney General, 2019 Primary
 - c. Campaign Fund of Lawrence/Rose Gubernatorial Slate, 2019 Primary
- 4. Case No. 2018-987 In re: Campaign Fund of Alex R. White for Kentucky State Senate, District 26, John Spalding, Treasurer, and Alex R. White, as Candidate for Kentucky State Senate, District 26, and Registered Agent for Alex R. White, PLLC**
Alleges violations of KRS 121.150(18), KRS 121.175(1) and KRS 121.180(3)(a)5
- 5. Case No. 2018-3249 In re: Republican Party of Kentucky, Mac Brown, Chairman, and Catherine D. Bell, Treasurer**
Alleges violation of KRS 121.190(1)

6. **Case No. 2019-83 In re: Campaign Fund of David Thompson for Mayor, City of Barbourville, David Thompson, Candidate/Treasurer, Campaign Fund of Wilma Barnes for Barbourville City Council, Wilma Barnes, Candidate/Treasurer, Campaign Fund of Jeremy Hicks for Barbourville City Council, Jeremy Hicks, Candidate/Treasurer, Campaign Fund of Mike Johnson for Barbourville City Council, Mike Johnson, Candidate/Treasurer, Campaign Fund of Calvin Manis for Barbourville City Council, Calvin Manis, Candidate/Treasurer, Campaign Fund of Ronnie Moore for Barbourville City Council, Ronnie Moore, Candidate/Treasurer, Campaign Fund of Eddie Joe Smith for Barbourville City Council, Eddie Joe Smith, Candidate/Treasurer**
Alleges violations of KRS 121.150(6), KRS 121.180(3)(a) and (10)
7. **Case No. 2019-224 In re: Campaign Fund of Ed West for McLean County Judge Executive, Ed West, Candidate, Axel Lee Hollander, Treasurer**
Alleges violations of KRS 121.180(1)(a)
8. **Case No. 2019-3418 In re: Campaign Fund of Tony Wheatley for Spencer County Judge Executive, Tony Wheatley, Candidate, Larry Mott, Treasurer**
Alleges violation of KRS 121.190(1)
9. **Budget Report:** John R. Steffen
10. **Legislation 2020:** Chairman Dilger and John R. Steffen
11. **General Counsel's Update:** Emily Dennis
12. **Chairman/Executive Director's Report:** Chairman Dilger and John R. Steffen

New Business
Future Meeting Date(s)
Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

February 27, 2020
10:00 a.m.

Introduction of New Registry Board Member Alexander D. Gaddis: Chairman Craig Dilger

Introduction of New Registry General Counsel Leslie Saunders: Executive Director John R. Steffen

1. **Approval of September 26, 2019 Minutes**
2. **Approval of Cases/Conciliation Agreements:** Leslie Saunders, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
3. **Approval of Statewide Audits: Without Findings:**
 - a. Campaign Fund of Andrew G. English for Kentucky Secretary of State, 2019 Primary
 - b. Campaign Fund of Jason Griffith for Kentucky Secretary of State, 2019 Primary
 - c. Campaign Fund of Chris Tobe for Kentucky Auditor of Public Accounts, 2019 Primary
 - d. Campaign Fund of Joe Trigg for Kentucky Commissioner of Agriculture, 2019 Primary
4. **Case No. 2018-1420: In re: Chris Thieneman, individually or on behalf of the “Victims of the Youth Explorer Program”**
Alleges violations of KRS 121.190(1)
5. **Case No. 2018-1988: In re: Campaign Fund of Mark Collier for Fort Thomas City Council, Mark Collier, Candidate/Treasurer, and Fort Thomas Matters, LLC, Mark Collier, Owner/Member**
Alleges violations of KRS 121.025, KRS 121.035, KRS 121.150(18), KRS 121.190(1)
6. **Budget Report:** John R. Steffen Executive Director
7. **Legislation 2020:** Chairman Dilger and Leslie Saunders, General Counsel
8. **General Counsel’s Update:** Leslie Saunders, General Counsel
9. **Chairman/Executive Director’s Report:** Chairman Dilger and John R. Steffen, Executive Director

New Business

Future Meeting Date(s)

Adjournment

**Please note the Swearing in of Alexander D. Gaddis by Judge Thomas D. Wingate will take place at 9:45AM in order to accommodate scheduling



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA
June 11, 2020
10:00 a.m.

Call to Order and Roll Call

Introduction of New Registry Board Member Tony Colyer: Chairman Craig Dilger

Swearing in of Tony Colyer: Hon. Thomas Wingate, Judge, Franklin Circuit Court

Introduction of New Registry Executive Staff Advisor Katrina Beckley: John R. Steffen, Executive Director

- 1. Approval of February 27, 2020 Minutes**
- 2. Approval of Cases/Conciliation Agreements:** Leslie Saunders, General Counsel
 - a. Board-Directed Conciliation Agreements
 - b. Late Filing of Campaign Finance Reports
- 3. Approval of Statewide Audits: Without Findings:**
 - a. Campaign Fund of Greg Stumbo for Kentucky State Attorney General, 2019 Primary
 - b. Campaign Fund of Greg Stumbo for Kentucky State Attorney General, 2019 General
 - c. Campaign Fund of Allison Ball for Kentucky State Treasurer, 2019 Primary
 - d. Campaign Fund of Allison Ball for Kentucky State Treasurer, 2019 General
- 4. Case No. 2018-1987: In re: Carolyn Dupont and the Jessamine County Democratic Executive Committee, Ruby Mason, Chairperson, Harold L. King, Treasurer**
Alleges violations of KRS 121.150(2) and KRS 121.175(1)
- 5. Case No. 2018-2548: In re: Campaign Fund of Wade McNabb, Candidate for Fayette County Constable, District 1, Wade McNabb, Candidate and Treasurer**
Alleges violation of KRS 121.160(2)(b), KRS 121.180(1)(c)(2) and KRS 121.220(2)
- 6. Case No. 2019-204: In re: Kentucky Council for Better Government UCC, Erik Hermes, Chairperson, Kevin Niemoeller, Treasurer, and Tyson Hermes, individually and as**

Registry Meeting Agenda

June 11, 2020

10:00 a.m.

Page 2 of 2

Candidate and Treasurer of the Campaign Fund of Tyson Hermes for Erlanger City Council

Alleges violations of KRS 121.150(1), KRS 121.160(2), KRS 121.180(3), KRS 121.180(7), and KRS 121.190(1)

7. **Budget Report:** Katrina Beckley, Executive Staff Advisor
8. **Legislation 2021:** Chairman Dilger and John R. Steffen, Executive Director
9. **Chairman/Executive Director's Report:** Chairman Dilger and John R. Steffen, Executive Director

New Business

Future Meeting Date(s)

Adjournment



**KENTUCKY REGISTRY OF ELECTION FINANCE
140 WALNUT STREET
FRANKFORT, KY 40601**

AGENDA

September 24, 2020
10:00 a.m.

Call to Order and Roll Call

Introduction of New Registry Board Members Adrian M. Mendiondo, H. David Wallace and Bissell Roberts: Chairman Craig Dilger

Swearing in of Adrian M. Mendiondo, H. David Wallace and Bissell Roberts: Hon. Phillip J. Shepard, Judge, Franklin Circuit Court

- 1. Approval of June 11, 2020 Minutes**
- 2. Approval of Cases/Conciliation Agreements:** Leslie Saunders, General Counsel
 - a.** Board-Directed Conciliation Agreements
 - b.** Late Filing of Campaign Finance Reports
- 3. Approval of Statewide Audits: Without Findings:**
 - a.** Campaign Fund of Woods/Miller Gubernatorial Slate, 2019 Primary
- 4. Case No. 2018-1418: In re: Campaign Fund of Jordan Huizenga for Covington City Commissioner, Jordan Huizenga, Candidate, Chris Brady, Treasurer; Campaign Fund of Jordan Huizenga for Kentucky State Representative, District 65, Jordan Huizenga, Candidate, and Lauren Huizenga, Treasurer**
Alleges violations of KRS 121.180(7), KRS 121.180(10), KRS 121.180(3)
- 5. Case No. 2018-1989: In re: Becky Miller, Stanley Miller, Emily Menshouse Stakely, and Vincenzo Fressola, as individuals or on behalf of the association, "Ashland Political"**
Alleges violation of KRS 121.190(1), KRS 121.170(1) and KRS 121.180(6)(c)
- 6. Case No. 2019-264: In re: Campaign Fund of Nelson County Organization of Republican Women, Paula Burkot, Vice President/Acting President, Beth Lear, Treasurer**
Alleges violations of KRS 121.015(4) and 121.180(6)
- 7. Case No. 2019-272: In re: Mark A. Graham, individually and as Chairman, and Matt McGowan, as Treasurer of "Citizens Right to Vote on Tax Increase"**
Alleges violations of KRS 121.170 and KRS 121.180(3)

Registry Meeting Agenda
September 24, 2020
10:00 a.m.
Page 2 of 2

8. **Case No. 2020-485: In re: Campaign Fund of Ryan Dotson for Kentucky State House of Representatives, District 73, Ryan Dotson, Candidate, Sharon Banks, Treasurer**
Alleges violations of KRS 121.190(1)
9. **Budget Report:** Katrina Beckley, Executive Staff Advisor
10. **Legislation 2021:** Chairman Dilger and John R. Steffen, Executive Director
11. **Chairman/Executive Director's Report:** Chairman Dilger and John R. Steffen, Executive Director

New Business
Future Meeting Date(s)
Adjournment